# United Nations Children Fund (UNICEF) World Food Programme (WFP) Save the Children Fund (SCUK)

Republic of Yemen
Restoring Education and Learning (P175036)

## **Environmental and Social Commitment Plan (ESCP)**

1 December 2020

#### **Environmental and Social Commitment Plan**

- 1. United Nations Children Fund (UNICEF), World Food Programme (WFP), and Save the Children Fund (SCUK) through Save the Children International (SCI)<sup>1</sup>, will jointly implement the Yemen Restoring Education and Learning Project (P175036). The *International Development Association*] (hereinafter the Association) has agreed to provide financing for the Project.
- 2. UNICEF, WFP and SCUK through SCI will jointly implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards of the World Bank (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out these material measures and actions, any specific documents or plans, as well as the timing for each of these.
- 3. UNICEF, WFP and SCUK through SCI shall carry out the Project in accordance with the Environmental and Social Standards (ESSs). To this end, this Environmental and Social Commitment Plan (ESCP) sets out material measures and actions to be carried out or caused to be carried out by the UNICEF, WFP and SCUK, including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted, adopted and implemented under the ESCP and the ESSs such as Environmental and Social Management Framework (ESMF), Environmental and Social Management Plans (ESMP), J. Labor Management Plans (LMP), Security Management Plans (SMP), Gender-Based Violence Action Plan, , and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents, all in a manner acceptable to the Association.
- 4. As agreed by the Association, UNICEF, WFP, and SCUK through SCI, this ESCP can be revised during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, UNICEF, WFP, and SCUK, will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and UNICEF, WFP, and SCUK. UNICEF, WFP, and SCUK through SCI will promptly disclose the updated ESCP.
- 5. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, UNICEF, WFP, and the SCUK, may re-allocate project funds, as agreed with Association, and/or undertake additional funds mobilization efforts, if needed, to be able to implement actions and measures to address such risks and impacts.

The relationship between SCUK and SCI in relation to international programming, is governed by the Master Programming Agreement (MPA), by which SCUK transfers project funds to SCI to deliver the Project and retains involvement and responsibility for some key areas of reporting, safeguarding and quality assurance. Each material measure and action in the ESCP is under the responsibility and authority of either SCI or SCUK as indicated in the document.

<sup>&</sup>lt;sup>1</sup> Save the Children International (SCI) is the implementing entity for all international programmes funded by Save the Children Fund (SCUK). SCUK is the signatory of the Financing Agreement with the Association, under which funding for the Project is provided, and SCI is the Implementing Partner.

### **UNICEF**

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONI	TORING AND REPORTING		
A	<b>REGULAR REPORTING.</b> UNICEF will prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievance log.	Every 6 months as part of the Project's technical progress report, starting from the Effective Date.	UNICEF
ESS 1:	ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RI	SKS AND IMPACTS	
1.1	ORGANIZATIONAL STRUCTURE. UNICEF will define its own respective management structures to implement the Project and will reflect it in the final SEP (due one month after Project the Effective Date). UNICEF will identify qualified staff and resources to be funded by the Project, including staff with environmental and social expertise, depending on the nature of the project components implemented by each agency, to be assigned to the Project and to support the management of ESHS risks and impacts	Qualified staff and resources will be assigned to support the management of ESHS risks and impacts. Depending on each agency's project components, efforts will be undertaken to recruit an environmental expert and a social expert one month after the Effective Date of the Project, taking into account the context and recruitment processes of each agency, and maintained throughout Project implementation. The agencies may combine their efforts and share human resources where required in order to enhance efficiency. Related costs will be covered by the Project.	UNICEF

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS		

**a.** UNICEF shall prepare, disclose, adopt and implement an Environmental and Social Management Framework (ESMF) in accordance with ESS 1 and other relevant ESSs and acceptable to the Association, according to ToRs that are in line with implementing partners' rules and regulations.

#### **b.** The ESMF shall:

- Set out the principles, rules, guidelines and procedures to assess the environmental and social risks and impacts of subprojects, including Sexual Abuse and Sexual Exploitation and Harassment (SAH), and measures to ensure that individuals or groups, who may be disadvantaged or vulnerable because of their particular circumstances, have access to the development benefits resulting from the Project
- Include Labor Management Procedures (LMP), prepared in accordance with ESS2, which will be based on the Yemeni labour law and implementing partners' rules and regulations. Organizations subcontracted by any of the three implementing partners will develop LMPs prepared in accordance with ESS2 and based on the rules and regulations of the Project implementing partner responsible for the subcontracting arrangement.
- Where applicable, include measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with ESS3 and relevant sources of Good International Industry Practices as defined in ESS3 (for example, construction waste for school rehabilitation, fecal waste for school latrines, food waste from school feeding program) and each implementing partner's rules and regulations.
- Include adequate information on the area in which Project activities are expected to be sited, including any potential environmental and social vulnerabilities of the area; and on the potential impacts that may occur and mitigation measures that might be expected to be applied.
- Where applicable, contain measures and plans, based on the rules and regulations of the Project implementing partner, which may include environmental and social clauses for contractors, to reduce, mitigate and/or offset adverse risks and impacts; provisions for estimating and budgeting the costs of such measures; and information on the agency or agencies responsible for addressing project risks and impacts, including on its capacity to manage environmental and social risks and impacts.
- Where applicable, incorporate measures related to the Project's Contingent Emergency Response Component.
- c. Prepare site specific environmental and social management plan (ESMPs) in

The ESMF shall be prepared, disclosed and adopted within one month after the Effective Date and prior to the carrying out of any Project activities, and will be applied throughout Project implementation to all relevant Project activities before they are carried out.

UNICEF

Before launching the procurement process

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
accordance with the ESS as per the ESMF and incorporating relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the agreements with contractors and supervising firms, in line with implementing partner's rules and regulations. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts. The ESMPs shall be integrated into procurement documents to ensure the E&S obligations will be cascaded down to contractors.	for the relevant Project activities, and thereafter throughout the carrying out of such activities.	
UNICEF shall promptly notify the Association of any Significant Events related to or having an impact on the Project.  "Significant Event" is "any significant social, labor, health and safety, security or environmental incident, accident, or circumstance directly the Project, including without limitation, explosions, spills, and any workplace accidents that result in death or serious injuries, and any violent and exceptional labor incident or dispute involving the Recipient or security forces in the Project area, and local communities or any gender-based violence, sexual exploitation or abuse suffered by beneficiaries receiving support under Respective Parts of the Project or any other event which has had or could reasonably be expected to have a material adverse effect on the implementation or operation of the Project in accordance with the ESMF".	UNICEF will provide the Association with a description of the Significant Event and measures that are taken or planned to be taken to address the Event to the extent that the cause of Significant Event is within the scope of the Recipient's control.  UNICEF shall notify the Association within 48 hours after learning of the Significant Event, once confirmed, and provide an initial report within 10 days of that notification indicating possible root causes and proposing possible corrective actions. Possible non-compliance incidents will be reviewed, and corrective action implemented as per the ESF, in a manner acceptable to the Association.  Throughout Project Implementation as per the timeline agreed in the Legal Agreement	UNICEF

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	<ul> <li>EXCLUSIONS. Exclude the following type of activities as ineligible for financing under the Project:</li> <li>Activities that may cause long term, permanent and/or irreversible adverse impacts (e.g. loss of major natural habitat).</li> <li>New constructions or expansions that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage.</li> <li>Activities that have high probability of causing serious adverse effects to human health and/or the environment</li> <li>Activities that may have significant adverse social impacts and may give rise to significant social conflict.</li> <li>Activities that may adversely affect lands or rights of vulnerable minorities.</li> <li>All the other excluded activities will be set out in the ESMF of the Project.</li> </ul>	During the assessment process conducted under action 1.2.a. above.	UNICEF

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 2:</b>	LABOR AND WORKING CONDITIONS		
2.1	LABOR MANAGEMENT: The Project shall carry out activities in accordance with the LMP (mentioned in 1.2), Yemeni legislation and implementing partners' terms and standards for labour conditions, and the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), prohibiting child labor (for children under 18) due to the hazardous work environment, setting out grievance arrangements for Project workers, and incorporating/annexing labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.  Any subcontracted partner shall be required to abide by relevant ESS requirements and UNICEF standards. At a minimum, national subcontracted partners shall develop their own LMPs prepared in accordance with ESS2 and relevant World Bank Group Environmental, Health, and Safety Guidelines and based on Yemeni legislation and in line with implementing partner terms and standards for labour conditions. Contractors and subcontractors are required to comply with the LMP.  UNICEF shall cause its implementing partners to ensure that all Project workers sign a code of conduct (CoC) to uphold ethical standards and comply with relevant E&S obligations and national legislation, prior to carrying out activities under the Project.	Throughout Project implementation.  All Projects workers shall sign a CoC prior to carrying out activities under the Project.	UNICEF
ESS 3:	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEM	ENT	
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with implementing partners' rules and regulations and consistent with ESS3, relevant World Bank Group Environmental, Health and Safety Guidelines (WBG EHS Guidelines), and relevant sources of Good International Industry Practices (as defined in ESS3).	The ESMF will be prepared, disclosed and adopted within one month after the Effective Date and before the start of project implementation. Its implementation will be throughout the Project implementation.	UNICEF

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 4:</b>	COMMUNITY HEALTH AND SAFETY		
4.1	Relevant aspects of this standard shall be considered as needed under action 1.2 above, including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx. UNICEF shall prepare and implement a joint Gender-Based Violence Action Plan (GBV), in accordance with ESS4 and relevant WB good practice notes on GBV, and in line with existing inter-agency frameworks and agreements, to assess and manage GBV risks related to the Project and prevent and respond to sexual exploitation and abuse, and sexual harassment.  UNICEF shall each prepare and implement a security management plan (SMP) acceptable to the Association. UNICEF and WFP activities are governed by the United Nations Security Management System (UNSMS), which, through designated officials in collaboration with Heads of UN Offices, ensures the security of their premises and staff.	The GBV action plan will be prepared as part of the ESMF within one month after the Effective Date and before the start of project implementation.  The GBV action plan shall be applied throughout Project implementation.  The SMPs shall be prepared within two months after the Effective Date and before the start of project implementation. The SMP shall be implemented throughout Project implementation.	UNICEF
<b>ESS 5:</b>	LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY I	RESETTLEMENT	
	Not relevant		
<b>ESS 6:</b>	BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF L	IVING NATURAL RESOURCES	
	Not relevant		
<b>ESS 7:</b>	INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERS	ERVED TRADITIONAL LOCAL COMMU	UNITIES
	Not relevant		
<b>ESS 8:</b>	CULTURAL HERITAGE		
	Not relevant		
ESS 9:	FINANCIAL INTERMEDIARIES		
	Not relevant		

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ENVI	RONMENTAL AND SOCIAL COMMITMENT PLAN		
10.1	STAKEHOLDER ENGAGEMENT PLAN. Update and implement the preliminary Stakeholder Engagement Plan (SEP), in a manner that is consistent with ESS10 and acceptable to the Association.	The SEP was disclosed on December 2, 2020. Preliminary SEP shall be updated within one month after the Effective Date and shall be implemented throughout project implementation.	UNICEF
10.2	<b>GRIEVANCE MECHANISM:</b> UNICEF shall each publicly make accessible grievance arrangements as indicated in the SEP, to receive and facilitate the resolution of concerns and grievances in relation to the Project, consistent with ESS10, and in a manner acceptable to the Association.	A reporting system for GRM issues, maintained by each implementing partner and based on common principles, shall be operational one month after the Effective Date and shall be maintained throughout Project implementation.	UNICEF
CAPA	CITY SUPPORT (TRAINING)		
	Training topics for personnel involved in Project implementation may amongst others include:  • Toolbox talks on OSH issues • Grievance mechanisms for workers • Gender based violence – sexual exploitation and abuse (SEA) and grievance		UNICEF
	<ul><li>management</li><li>Security Management Plan</li></ul>		

### **WFP**

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONI	TORING AND REPORTING		
A	<b>REGULAR REPORTING.</b> WFP will prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievance log.	Every 6 months as part of the Project's technical progress report, starting from the Effective Date.	WFP
ESS 1:	ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RI	SKS AND IMPACTS	
1.1	ORGANIZATIONAL STRUCTURE. WFP will define their own respective management structures to implement the Project and will reflect it in the final SEP (due one month after Project the Effective Date). Each agency will identify qualified staff and resources to be funded by the Project, including staff with environmental and social expertise, depending on the nature of the project components implemented by each agency, to be assigned to the Project and to support the management of ESHS risks and impacts	Qualified staff and resources will be assigned to support the management of ESHS risks and impacts. Depending on each agency's project components, efforts will be undertaken to recruit an environmental expert and a social expert one month after the Effective Date of the Project, taking into account the context and recruitment processes of each agency, and maintained throughout Project implementation. The agencies may combine their efforts and share human resources where required in order to enhance efficiency. Related costs will be covered by the Project.	WFP

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS		

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<ul> <li>d. WFP shall prepare, disclose, adopt and implement an Environmental and Social Management Framework (ESMF) in accordance with ESS 1 and other relevant ESSs and acceptable to the Association, according to ToRs that are in line with implementing partners' rules and regulations.</li> <li>e. The ESMF shall:</li> <li>Set out the principles, rules, guidelines and procedures to assess the environmental and social risks and impacts of subprojects, including Sexual Abuse and Sexual Exploitation and Harassment (SAH), and measures to ensure that individuals or groups, who may be disadvantaged or vulnerable because of their particular circumstances, have access to the development benefits resulting from the Project</li> <li>Include Labor Management Procedures (LMP), prepared in accordance with ESS2, which will be based on the Yemeni labour law and implementing partners' rules and regulations. Organizations subcontracted by any of the three implementing partners will develop LMPs prepared in accordance with ESS2 and based on the rules and regulations of the Project implementing partner responsible for the subcontracting arrangement.</li> <li>Where applicable, include measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with ESS3 and relevant sources of Good International Industry Practices as defined in ESS3 (for example, construction waste for school rehabilitation, fecal waste for school latrines, food waste from school feeding program) and each implementing partner's rules and regulations.</li> <li>Include adequate information on the area in which Project activities are expected to be sited, including any potential environmental and social vulnerabilities of the area; and on the potential impacts that may occur and mitigation measures that might be expected to be applied.</li> <li>Where applicable, contain measures and plans, based on the rules and regulations of the Project implementing partner, which may include environmental and social clauses for contra</li></ul>	The ESMF shall be prepared, disclosed and adopted within one month after the Effective Date and prior to the carrying out of any Project activities, and will be applied throughout Project implementation to all relevant Project activities before they are carried out.	WFP

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	f. Prepare site specific environmental and social management plan (ESMPs) in accordance with the ESS as per the ESMF and incorporating relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the agreements with contractors and supervising firms, in line with implementing partner's rules and regulations. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts. The ESMPs shall be integrated into procurement documents to ensure the E&S obligations will be cascaded down to contractors.	Before launching the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.	
1.3	WFP shall promptly notify the Association of any Significant Events related to or having an impact on the Project.  "Significant Event" is "any significant social, labor, health and safety, security or environmental incident, accident, or circumstance directly the Project, including without limitation, explosions, spills, and any workplace accidents that result in death or serious injuries, and any violent and exceptional labor incident or dispute involving the Recipient or security forces in the Project area, and local communities or any gender-based violence, sexual exploitation or abuse suffered by beneficiaries receiving support under Respective Parts of the Project or any other event which has had or could reasonably be expected to have a material adverse effect on the implementation or operation of the Project in accordance with the ESMF".	WFP will provide the Association with a description of the Significant Event and measures that are taken or planned to be taken to address the Event to the extent that the cause of Significant Event is within the scope of the Recipient's control.  WFP shall notify the Association within 48 hours after learning of the Significant Event, once confirmed, and provide an initial report within 10 days of that notification indicating possible root causes and proposing possible corrective actions. Possible non-compliance incidents will be reviewed, and corrective action implemented as per the ESF, in a manner acceptable to the Association.  Throughout Project Implementation as per the timeline agreed in the Legal Agreement	WFP

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	<b>EXCLUSIONS.</b> Exclude the following type of activities as ineligible for financing under the Project:	During the assessment process conducted under action 1.2.a. above.	WFP
	Activities that may cause long term, permanent and/or irreversible adverse impacts (e.g. loss of major natural habitat).  New constructions or expansions that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage.  Activities that have high probability of causing serious adverse effects to human health and/or the environment  Activities that may have significant adverse social impacts and may give rise to significant social conflict.  Activities that may adversely affect lands or rights of vulnerable minorities.  All the other excluded activities will be set out in the <i>ESMF</i> of the Project.		
<b>ESS 2:</b>	LABOR AND WORKING CONDITIONS		
2.1	LABOR MANAGEMENT: The Project shall carry out activities in accordance with the LMP (mentioned in 1.2), Yemeni legislation and implementing partners' terms and standards for labor conditions, and the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), prohibiting child labor (for children under 18) due to the hazardous work environment, setting out grievance arrangements for Project workers, and incorporating/annexing labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.  Any subcontracted partner shall be required to abide by relevant ESS requirements and WFP standards. At a minimum, national subcontracted partners shall develop their own LMPs prepared in accordance with ESS2 and relevant World Bank Group Environmental, Health, and Safety Guidelines and based on Yemeni legislation and in line with implementing partner terms and standards for labor conditions. Contractors and subcontractors are required to comply with the LMP.  WFP shall cause its implementing partners to ensure that all Project workers sign a code of conduct (CoC) to uphold ethical standards, and comply with relevant E&S obligations and national legislation, prior to carrying out activities under the Project.	Throughout Project implementation.  All Projects workers shall sign a CoC prior to carrying out activities under the Project.	WFP

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY		
ESS 3:	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEM	ENT			
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with implementing partners' rules and regulations and consistent with ESS3, relevant World Bank Group Environmental, Health and Safety Guidelines (WBG EHS Guidelines) , and relevant sources of Good International Industry Practices (as defined in ESS3) .	The ESMF will be prepared, disclosed and adopted within one month after the Effective Date and before the start of project implementation. Its implementation will be throughout the Project implementation.	WFP		
<b>ESS 4:</b>	COMMUNITY HEALTH AND SAFETY				
4.1	Relevant aspects of this standard shall be considered as needed under action 1.2 above, including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx. WFP shall prepare and implement a joint Gender-Based Violence Action Plan (GBV), in accordance with ESS4 and relevant WB good practice notes on GBV, and in line with existing inter-agency frameworks and agreements, to assess and manage GBV risks related to the Project and prevent and respond to sexual exploitation and abuse, and sexual harassment.  WFP shall prepare and implement a security management plan (SMP) acceptable to the Association. UNICEF and WFP activities are governed by the United Nations Security Management System (UNSMS), which, through designated officials in collaboration with Heads of UN Offices, ensures the security of their premises and staff.	The GBV action plan will be prepared as part of the ESMF within one month after the Effective Date and before the start of project implementation.  The GBV action plan shall be applied throughout Project implementation.  The SMPs shall be prepared within two months after the Effective Date and before the start of project implementation. The SMP shall be implemented throughout Project implementation.	WFP		
ESS 5:	ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT				
	Not relevant				
ESS 6:	BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF L	IVING NATURAL RESOURCES			
	Not relevant				
<b>ESS 7:</b>	SS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES				

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY		
	Not relevant				
ESS 8:	CULTURAL HERITAGE				
	Not relevant				
ESS 9:	FINANCIAL INTERMEDIARIES				
	Not relevant				
ENVI	RONMENTAL AND SOCIAL COMMITMENT PLAN				
10.1	STAKEHOLDER ENGAGEMENT PLAN. Update and implement the preliminary Stakeholder Engagement Plan (SEP), in a manner that is consistent with ESS10 and acceptable to the Association.	The SEP was disclosed on December 2, 2020. Preliminary SEP shall be updated within one month after the Effective Date and shall be implemented throughout project implementation.	WFP		
10.2	<b>GRIEVANCE MECHANISM:</b> WFP shall each publicly make accessible grievance arrangements as indicated in the SEP, to receive and facilitate the resolution of concerns and grievances in relation to the Project, consistent with ESS10, and in a manner acceptable to the Association.	A reporting system for GRM issues, maintained by each implementing partner and based on common principles, shall be operational one month after the Effective Date and shall be maintained throughout Project implementation.	WFP		
CAPA	CAPACITY SUPPORT (TRAINING)				
	Training topics for personnel involved in Project implementation may amongst others include:		WFP		
	Toolbox talks on OSH issues Grievance mechanisms for workers Gender based violence – sexual exploitation and abuse (SEA) and grievance management Security Management Plan				

Save the Children

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONI	TORING AND REPORTING		
A	<b>REGULAR REPORTING.</b> SCUK/SCI will prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievance log.	Every 6 months as part of the Project's technical progress report, starting from the Effective Date.	SCUK/SCI
ESS 1:	ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RI	SKS AND IMPACTS	
1.1	ORGANIZATIONAL STRUCTURE. SCUK/SCI will its management structures to implement the Project, and will reflect it in the final SEP (due one month after Project the Effective Date). SCUK/SCI will identify qualified staff and resources to be funded by the Project, including staff with environmental and social expertise, depending on the nature of the project components implemented by each agency, to be assigned to the Project and to support the management of ESHS risks and impacts	Qualified staff and resources will be assigned to support the management of ESHS risks and impacts. Depending on each agency's project components, efforts will be undertaken to recruit an environmental expert and a social expert one month after the Effective Date of the Project, taking into account the context and recruitment processes of each agency, and maintained throughout Project implementation. The agencies may combine their efforts and share human resources where required in order to enhance efficiency. Related costs will be covered by the Project.	SCUK/SCI

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<ul> <li>ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</li> <li>g. SCUK/SCI shall prepare, disclose, adopt and implement an Environmental and Social Management Framework (ESMF) in accordance with ESS 1 and other relevant ESSs and acceptable to the Association, according to ToRs that are in line with implementing partners' rules and regulations.</li> <li>h. The ESMF shall: Set out the principles, rules, guidelines and procedures to assess the environmental and social risks and impacts of subprojects, including Sexual Abuse and Sexual Exploitation and Harassment (SAH), and measures to ensure that individuals or groups, who may be disadvantaged or vulnerable because of their particular circumstances, have access to the development benefits resulting from the Project Include Labor Management Procedures (LMP), prepared in accordance with ESS2, which will be based on the Yemeni labour law and implementing partners' rules and regulations. Organizations subcontracted by any of the three implementing partners will develop LMPs prepared in accordance with ESS2 and based on the rules and regulations of the Project implementing partner responsible for the subcontracting arrangement. Include adequate information on the area in which Project activities are expected to be sited, including any potential environmental and social vulnerabilities of the area; and on the potential impacts that may occur and mitigation measures that might be expected to be applied. Where applicable, contain measures and plans, based on the rules and regulations of the Project implementing partner, which may include environmental and social clauses for contractors, to reduce, mitigate and/or offset adverse risks and impacts; provisions for estimating and budgeting the costs of such measures; and information on the agency or agencies responsible for addressing project risks and impacts, including on its capacity to manage environmental and social risks and impacts.</li> </ul>	The ESMF shall be prepared, disclosed and adopted within one month after the Effective Date and prior to the carrying out of any Project activities, and will be applied throughout Project implementation to all relevant Project activities before they are carried out.	SCUK/SCI

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	i. Prepare site specific environmental and social management plan (ESMPs) in accordance with the ESS as per the ESMF and incorporating relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the agreements with contractors and supervising firms, in line with implementing partner's rules and regulations. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts. The ESMPs shall be integrated into procurement documents to ensure the E&S obligations will be cascaded down to contractors.	Before launching the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.	
1.3	SCUK/SCI <sup>2</sup> shall promptly notify the Association of any Significant Events related to or having an impact on the Project.  "Significant Event" is "any significant social, labor, health and safety, security or environmental incident, accident, or circumstance directly the Project, including without limitation, explosions, spills, and any workplace accidents that result in death or serious injuries, and any violent and exceptional labor incident or dispute involving the Recipient or security forces in the Project area, and local communities or any genderbased violence, sexual exploitation or abuse suffered by beneficiaries receiving support under Respective Parts of the Project or any other event which has had or could reasonably be expected to have a material adverse effect on the implementation or operation of the Project in accordance with the ESMF".	SCUK/SCI will provide the Association with a description of the Significant Event and measures that are taken or planned to be taken to address the Event to the extent that the cause of Significant Event is within the scope of the Recipient's control.  SCUK/SCI shall notify the Association within 48 hours after learning of the Significant Event, once confirmed, and provide an initial report within 10 days of that notification indicating possible root causes and proposing possible corrective actions. Possible non-compliance incidents will be reviewed, and corrective action implemented as per the ESF, in a manner acceptable to the Association.  Throughout Project Implementation as per the timeline agreed in the Legal Agreement	SCUK/SCI

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<sup>&</sup>lt;sup>2</sup> In line with the existing and established accountabilities and responsibilities between Save the Children Fund (SCUK) and Save the Children International (SCI), SCUK will hold responsibility for notifying the Association of any incidents and accidents as defined in section 1.3 above.

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	<b>EXCLUSIONS.</b> Exclude the following type of activities as ineligible for financing under the Project:	During the assessment process conducted under action 1.2.a. above.	SCUK/SCI
	Activities that may cause long term, permanent and/or irreversible adverse impacts (e.g. loss of major natural habitat).  New constructions or expansions that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage.  Activities that have high probability of causing serious adverse effects to human health and/or the environment  Activities that may have significant adverse social impacts and may give rise to significant social conflict.  Activities that may adversely affect lands or rights of vulnerable minorities.  All the other excluded activities will be set out in the <i>ESMF</i> of the Project.		
<b>ESS 2:</b>	LABOR AND WORKING CONDITIONS		
2.1	<b>LABOR MANAGEMENT:</b> The Project shall carry out activities in accordance with the LMP (mentioned in 1.2), Yemeni legislation and implementing partners' terms and standards for labor conditions, and the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate	Throughout Project implementation.	SCUK/SCI
	occupational health and safety measures (including emergency preparedness and response measures), prohibiting child labor (for children under 18) due to the hazardous work environment, setting out grievance arrangements for Project workers, and incorporating/annexing labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.	All Projects workers shall sign a CoC prior to carrying out activities under the Project.	
	Any subcontracted partner shall be required to abide by relevant ESS requirements and SCI/SCUK standards. At a minimum, national subcontracted partners shall develop their own LMPs prepared in accordance with ESS2 and relevant World Bank Group Environmental, Health, and Safety Guidelines and based on Yemeni legislation and in line with implementing partner terms and standards for labor conditions. Contractors and subcontractors are required to comply with the LMP.		

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY		
ESS 3:	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEM	ENT			
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with implementing partners' rules and regulations and consistent with ESS3, relevant World Bank Group Environmental, Health and Safety Guidelines (WBG EHS Guidelines), and relevant sources of Good International Industry Practices (as defined in ESS3).	The ESMF will be prepared, disclosed and adopted within one month after the Effective Date and before the start of project implementation. Its implementation will be throughout the Project implementation.	SCUK/SCI		
<b>ESS 4:</b>	COMMUNITY HEALTH AND SAFETY				
4.1	Relevant aspects of this standard shall be considered as needed under action 1.2 above, including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx. SCUK/SCI shall prepare and implement a joint Gender-Based Violence Action Plan (GBV), in accordance with ESS4 and relevant WB good practice notes on GBV, and in line with existing inter-agency frameworks and agreements, to assess and manage GBV risks related to the Project and prevent and respond to sexual exploitation and abuse, and sexual harassment.  SCI/SCUK shall prepare and implement a security management plan (SMP) acceptable to the Association.	The GBV action plan will be prepared as part of the ESMF within one month after the Effective Date and before the start of project implementation.  The GBV action plan shall be applied throughout Project implementation.  The SMPs shall be prepared within two months after the Effective Date and before the start of project implementation. The SMP shall be implemented throughout Project implementation.	SCUK/SCI		
ESS 5:	ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT				
	Not relevant				
<b>ESS 6:</b>	BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF L	IVING NATURAL RESOURCES			
	Not relevant				
ESS 7:	INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERS	ERVED TRADITIONAL LOCAL COMMU	UNITIES		

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY	
	Not relevant			
ESS 8	CULTURAL HERITAGE			
	Not relevant			
ESS 9	: FINANCIAL INTERMEDIARIES			
	Not relevant			
ENVI	RONMENTAL AND SOCIAL COMMITMENT PLAN			
10.1	STAKEHOLDER ENGAGEMENT PLAN. Update and implement the preliminary Stakeholder Engagement Plan (SEP), in a manner that is consistent with ESS10 and acceptable to the Association.	The SEP was disclosed on December 2, 2020. Preliminary SEP shall be updated within one month after the Effective Date and shall be implemented throughout project implementation.	SCUK/SCI	
10.2	GRIEVANCE MECHANISM: SCUK/SCI shall publicly make accessible grievance arrangements as indicated in the SEP, to receive and facilitate the resolution of concerns and grievances in relation to the Project, consistent with ESS10, and in a manner acceptable to the Association.	A reporting system for GRM issues, maintained by each implementing partner and based on common principles, shall be operational one month after the Effective Date and shall be maintained throughout Project implementation.	SCUK/SCI	
CAPA	CAPACITY SUPPORT (TRAINING)			
	Training topics for personnel involved in Project implementation may amongst others include:		SCUK/SCI	
	Toolbox talks on OSH issues Grievance mechanisms for workers Gender based violence – sexual exploitation and abuse (SEA) and grievance management Security Management Plan			