Livelihood Coping Strategies Indicator for Food Security

Guidance Note



World Food Programme

SAVING LIVES CHANGING LIVES

Contents

C	Contents	2
1.	About this guidance	3
2.	Introduction to Livelihood Coping Strategies	3
	ivelihood Coping Strategies for Food Security (LCS-FS) vs Livelihood Coping Strategies. Needs (LCS-EN)	s for Essential 4
3.	What is the LCS-FS used for?	4
4.	Master list of available coping strategies	5
5.	Severity levels of livelihood coping strategies	7
6.	How to design the module?	8
7.	Procedures for developing context-specific coping strategies	10
S	Step 1: Desk Review	11
S	Step 2: Focus group discussions to refine the list of strategies	11
S	Step 3: Focus group discussions to determine the severity of strategies	12
S	Step 4: Final Module	13
S	Step 5: Communicate the new coping strategies to HQ RAM - Needs Assessments and T	Targeting Unit 14
8.	Training on LCS-FS data collection	14
9.	Data Collection	15
10.	Quality assurance	15
11.	Analysis of the LCS-FS Data	16
12.	Convergence	17
13.	Limitations	17
14.	Interpreting the LCS-FS	19
C	Colour coding for the prevalence of livelihood coping strategies	20
Anı	nex I: Example for Deriving Context-Specific Coping Strategies	21
S	Step 1: Qualitative Research Questions and Themes	21
S	Step 2: Aggregation of Strategies by Severity per FGD	22
S	Step 3: Consensus on the Final Severities	23
Acr	ronyms	25

1. About this guidance

This document note was created to guide the design of questionnaires, training of enumerators, analysis, and reporting on Livelihood Coping Strategies for Food Security (LCS-FS) indicator. Other useful resources about this indicator, including the standard modules, the full list of coping strategies and syntax files can be found on the <u>VAM Resource Centre</u> page.

2. Introduction to Livelihood Coping Strategies

The Livelihood Coping Strategies (LCS) indicators are household-level indicators that are relatively simple, quick to use, straightforward to understand and correlate with other measures of food security and vulnerability. The LCS indicator is defined as the share of the population who adopted coping strategies of different severity levels in the past 30 days or exhausted them within the past 12 months. They are based on a series of questions about how households manage to cope with shocks that stress their livelihoods.

Households are exposed to various shocks, including climatic, economic, environmental, and conflict-related stressors. These shocks can affect livelihoods and physical, human, and social capital to varying degrees. Shocks are associated with possible disruptions in production, supply, markets, and household income generation, which affect households' ability to meet their needs. Households tend to adapt and cope in a variety of ways to ensure access to basic food and other essential needs. Coping can be defined as a series of behavioural changes taken to manage specific external or internal demands or shocks that exceed the existing resources or capacity of an individual or household. The coping behaviours applied by households can vary from shorter-term consumption-based related strategies (or reduced coping strategies) to longer-term livelihood-based coping strategies, to overcome food shortages and/or meet their essential needs.

At an early onset of an emergency, households tend to resort to shorter-term consumptionbased coping strategies to overcome immediate challenges in food shortages. If the situation persists, households begin seeking other outlets to meet their basic food or other essential needs. The livelihood coping strategies applied can damage households' productivity, wealth, and well-being - in the medium and long term - as some of these coping strategies could be difficult or nearly impossible to reverse. The livelihood coping strategies can be applied to cope specifically with food shortages (coping strategies for food security) or because of a more general issue in meeting essential needs (coping strategies for essential needs).

It is important to consider that strategies adopted by households vary according to the causes of the crisis, types of crises, and household characteristics. The characteristics of households include the number of income sources and access stability, household ownership of assets, and the education level of the household head. The selection of livelihood-coping strategies made by the analysts from the master list must also consider the local context, with the differentiation made for rural and urban areas; note that there are some suggested coping strategies which are only applicable in rural settings. The set of possible strategies asked to households should be adequately adapted to the local situation.

This guidance describes the tool and walks the reader through a step-by-step process to build the Livelihood Coping Strategies for Food Security (LCS-FS) data collection module. It guides the reader on how to adapt the tool to the local context, train enumerators on the tool, ensure data quality, and analyse information using standard analysis scripts. For guidance on LCS-EN visit this **link**.

The LCS-FS module is used to better understand the medium and longer-term coping capacity of households. While the complementary food security indicators (i.e., FCS and rCSI) are proxy indicators that measure the adequacy of households' food consumption at the time of the survey, the LCS-FS helps in assessing longer-term household coping capacity and productive capacities, as well as the future impact on access to food for households. For instance, the sale of productive assets is likely to affect the sustainability of a household's livelihoods and may therefore translate into reduced physical and/or economic access to food in the medium- to long-term.

Meanwhile, the rCSI refers to the actions that households adopt to manage and adapt to challenging situations or stressors by altering their consumption patterns. These strategies focus on optimizing available resources and adjusting consumption patterns to meet immediate food needs. The adoption of these strategies can provide short-term relief and enhance resilience during times of crisis or stress. For guidance on the reduced Coping Strategy Index (rCSI), which is a reduced list of questions to assess the consumption coping strategies applied by households in the short term (7 days) visit this **link**.

Livelihood Coping Strategies for Food Security (LCS-FS) vs Livelihood Coping Strategies for Essential Needs (LCS-EN)

The livelihood coping strategies indicator thus exists in two versions, one for food security (LCS-FS) and another for essential needs (LCS-EN). The food security version is to be used when the objective of a data collection exercise has a specific focus on food security. LCS-FS is recommended to be used in contexts where the prevalence of food insecurity is high, and food needs are on top of unmet needs in the communities. The version for essential needs should be used in essential needs assessments, or whenever the objective of an assessment is broader than food security and tackles other essential needs (shelter, health, etc).

The LCS indicators are based on a series of questions asking households about their engagement in certain coping strategies in a given period. In the LCS-FS version, households are asked if they engaged in coping strategies "due to a lack of food or money to buy it". However, in the LCS-EN version, households are asked about their engagement in coping strategies "due to a lack of resources to access essential needs (e.g., food, shelter, education services, health services, etc."

If the assessment is expected to feed into the Integrated Food Security Phase Classification (IPC)/ Cadre Harmonisé (CH) analysis exercises, then it is highly recommended to use the LCS-FS module. If the LCS-EN module was already used in the data collection tool, then the LCS-FS indicator can be calculated through the LCS-EN data. The calculation of the LCS-FS indicator using the LCS-EN module can be found inside the scripts – **here**.

3. What is the LCS-FS used for?

The LCS-FS is especially useful when there is a good understanding of the strategies typically employed by households in difficult situations, and the relative severity of each strategy. The

indicator is correlated with other food security indicators and provides a meaningful understanding of the overall food security situation of households. Consequently, both the recent reliance on coping strategies (within the last 30 days) and exhaustion of strategies could give a glimpse into the coping capacities of households to overcome future shocks.

The LCS-FS indicator plays a part in classifying households according to their level of food security, through the Consolidated Approach for Reporting on food Insecurity (CARI). The CARI measurement summarises a set of food security indicators computed at the household level, including the LCS-FS. CARI has two domains, the 'current status' and the 'coping capacity' domain. The LCS-FS is one of the four indicators used to calculate the CARI composite indicator and is one of the two in the 'coping capacity' domain which measures households' economic capacity and livelihood coping strategies to reflect how households can sustain their food security over time.

Furthermore, the LCS-FS indicator is one of the food security outcome indicators in the IPC acute food insecurity reference table. The indicator and the distribution of individual strategies used by households are key factors in classifying populations into the five phases of acute food insecurity (none/minimal, stress, crisis, emergency, and catastrophe/famine). The fifth phase is determined when there is a near collapse of coping strategies and assets in the community.

The LCS-FS is also used to monitor WFP programme implementation and it is one of the indicators in the **WFP Corporate Results Framework**.

4. Master list of available coping strategies

Coping strategies are categorized by how they influence household access to food. To facilitate the understanding of the livelihood coping strategies and the potential impacts that they may have on the households affected, they can be grouped into one of the following four categories regardless of the severity level of the strategy:

- Strategies that increase household resources to access food and have a medium or long-term negative impact on the livelihoods of the household.
- Strategies that increase household resources to access food and have a long-term negative impact on the human capital, dignity, or well-being of the household.
- Strategies that decrease the number of people to feed in the household in the medium or longer-term; or
- Strategies that manage available food to sustain caloric intake and support livelihoods.

Over various applications of the LCS indicators, several coping behaviours have been adopted in each of these categories (see Table 1 – listed in no particular order within these categories). It is important to note that the master list includes as many as possible coping strategies. However, context and situation-specific coping strategies can be developed, when required. This categorisation of the strategies can thus be useful in guiding the FGDs (See Annex I for more info).

Strategies that in- crease household re- sources to access food and have medium or long-term negative impacts on the assets and livelihood of the household	 Sold the last female animal due to a lack of food Sold non-food items that were provided as assistance due to a lack of food Borrowed money to cover food needs Pawned household items due to a lack of food Sold productive assets or means of transport (sewing machine, wheelbarrow, bicycle, car, etc.) due to a lack of food Mortgaged/sold the house where the household was permanently living or land due to a lack of food Sold more animals than usual due to a lack of food Consumed seed stocks that were to be saved for the next season due to a lack of food Decreased expenditures on fertilizer, pesticide, fodder, animal feed, veterinary care, etc. due to a lack of food
Strategies that in- crease household re- sources to access food and have a long-term negative impact on human capital, dignity or wellbeing of the household	 Withdrew children from school due to a lack of food Moved children to less expensive school due to a lack of food Reduced expenses on education due to a lack of food Reduced expenses on health (including medications) due to a lack of food Reduced or ceased payments on essential utilities and bills due to a lack of food Moved to less expensive accommodation due to a lack of food Children (under 15 years old) worked to contribute to household income (e.g., maid, casual labour) due to a lack of food Mortgaged/sold the house where the household was permanently living or land due to a lack of food Begged (i.e., ask strangers for money or food) and/or scavenged due to lack of food Engaged in socially degrading, high-risk, exploitive or life-threatening jobs, or income-generating activities (e.g., smuggling, theft, joining armed groups, prostitution) due to a lack of food Bartered/exchanged clothing for food due to a lack of food
Strategies that de- crease the number of people to feed in the household in the me- dium or longer-terms	 A minor (under age 15) household member migrated informally due to a lack of food A household member migrated informally due to a lack of food Sent one or more household members to live elsewhere due to a lack of food Sent household members to eat elsewhere due to a lack of food
Strategies that man- age available food to	Gathered wild foods ¹ (not normally consumed) due to a lack of food

¹ Wild food: in emergency situations, households can be forced to eat all possible 'wild foods,' which are not normally consumed, e.g., hunting of wild animals, birds and scavenging of plants, including inedible plants; this should be flagged when it is done in excess or in the extreme cases or when households become reliant on these food sources. For example, during the famine around 1995 in North Korea, birds and other wild animals were hunted to near extinction, and households resorted to consuming grass and tree bark. Source: <u>IPC-Guidance-Note-on-Famine.pdf (ipcinfo.org)</u>

sustain caloric intake and support liveli-	•	Prioritized food consumption of active household members ² due to a lack of food
hoods	•	Sold, shared or exchanged food rations due to a lack of food

If the master list of suggested standard strategies does not fit the context, or if the analysts are aware of other strategies used by the community to cope with food shortages through secondary data or anecdotal evidence, country offices (COs) can develop context-specific strategies. However, newly formulated coping strategies must be appropriate and **must be communicated with the Needs Assessment and Targeting Unit of the Research Assessment and Monitoring Division of WFP's HQ for review and inclusion both in the standard Codebook and Survey Designer platform.**

5. Severity levels of livelihood coping strategies

The master list presents many potential strategies for the LCS-FS module. Strategies are then classified into three severity groups - stress, crisis, and emergency. The stress strategies indicate a decrease in the household's capacity to manage future shocks, while crisis and emergency mechanisms reduce the households' future productivity with an increasing intensity passing from the former (i.e., crisis) to the latter (i.e., emergency).

Stress	Crisis	Emergency
indicates a reduced ability to deal with future shocks due to a cur-	directly reduces future productiv- ity, including human capital for-	affects future productivity but are extremely difficult to reverse or
rent reduction in resources or in- crease in debts.	mation.	more dramatic in nature.

The <u>'Master list of available coping strategies'</u> also provides the recommended severity levels for each livelihood coping strategy for urban and rural classifications. However, COs are given the flexibility to **slightly** adjust the severity of some strategies after assessing whether the recommended severities are suitable and, if not, adapting them to their local context after community consultations (refer to **Section 7**).

Some strategies may have a different level of severity depending on how these coping strategies damage the productivity and well-being of households in different contexts. For example, consuming seed stocks set aside for the next planting season undoubtedly constitutes severe coping behaviours in nearly any culture, however, other strategies would be considered perfectly normal behaviour in some places – such as borrowing money to cover food needs, while in other places can be seen as a great source of shame (and therefore to be practised in the most extreme circumstances). Hence, the applicability and relevance of such strategies and their severity would require validation through contextual knowledge and qualitative information (refer to **Section 7**).

² Active members are defined as household members who work. This means they are engaged in income-generating activities, including production for own consumption of the household.

The severity levels of some livelihood coping strategies may differ from one region to another, or across countries in a single region. Therefore, the severity of certain strategies must be explored and determined in consultation with the affected populations (residents, refugees, IDPs, returnees, and migrants) through focus group discussions (FGDs). The most accurate procedure for validating severities is to ask FGD respondents for their own experiences and perception of selected coping behaviours. When the severity levels are determined for the entire target population of interest, then it must be the same across the population and not differ from household to household. See **Step 3: Focus group discussions to determine the** in **Section 7**.

6. How to design the module?

Over time, several possible livelihood-coping strategies have been formulated to describe various mechanisms used by distressed populations to overcome their lack of food (see **Section 5**). Ten livelihood coping strategies must be included in the questionnaire module, to allow for the calculation of the LCS-FS indicator. When deciding on strategies to be included in the LCS-FS module, the analysts must select a combination comprised of four stress, three crisis and three emergency strategies.

Choosing a particular set of coping strategies depends on several factors, including the types of crises households face and the strategies available. For example, in areas of ongoing conflict, such as Ukraine, it does not make sense to include a strategy on migration as it could be confused with high levels of displacement. Additionally, in the context of Tajikistan, labor migration to countries like Russia is a significant and regulated activity, supported by the Tajik government.

Another example is the gathering of wild foods in Yemen, which is a common practice, particularly in rural areas where natural resources play a crucial role in food security and livelihoods. Therefore, this coping strategy may not be viable to propose. It is important to consider the context and livelihood activities of the targeted group (urban/rural, agriculturalists/pastoralists) when determining the relevance of asset-related strategies. Ideally, strategies are selected based on key informant interviews or focus group discussions (FGDs) with the affected population, especially if the analyst is not very familiar with the context or if it is a new emergency.

While it is generally recommended to select 10 relevant strategies from the master list including coping strategies of different severities (four stress, three crisis and three emergency strategies), the module can also be expanded beyond the 10 mandatory strategies. Analysts may choose to include more than 10 strategies for explorative purposes, if qualitative exercises to inform the design of the module are not feasible and uncertain about strategies applied by households in a given context. More than 10 strategies may also be needed when the survey should cover populations residing in different areas (i.e., urban and rural settings).

The questions must also follow the model and the standard answer options across all asked strategies (i.e., no, yes, exhausted or N/A). The answer options tied to each coping strategy explain the households' reliance on a coping strategy due to lack of food, and help explain why a strategy was not applied (or not). The first answer option: *"no because we didn't need*

to" demonstrates two different scenarios: 1) a household did not need to rely on a coping strategy because they did not face a lack of food or money to buy it, or 2) a household relied on a different livelihood coping strategy to overcome the lack of food. The second answer option is *"yes, applied within the last 30 days"* indicates that a strategy was applied due to a lack of food or money to buy it. Thirdly, a household may not be able to apply a strategy as it was applied before within the past 12 months, and thus has already been exhausted; a relevant answer option is available; *"no because we already sold those assets or have engaged in this activity within the last 12 months and cannot continue to do it"*. Finally, a household may also not be able to rely on a strategy as it does not apply to them, *"Not applicable (don't have access to this strategy)"*.

It is important to review the adapted LCS-FS module to ensure that there is no overlap in the selected strategies. For example, there is a clear overlap between the coping strategies: "reducing expenses on education" and "moving children to a less expensive school". This strategy should not be included in a module with other education-related strategies such as withdrawing children or moving children to a less expensive school. In addition, there is overlap of sale/pawning of jewellery mentioned in "spend savings," "sell household assets/goods (radio, furniture, television, jewellery, etc.)," and "pawn household items." Thus, it is important to distinguish between these strategies and avoid using them in the same module.

The **LCS-FS generic modules** on the VAM resource serves as examples by deriving a set of strategies from the "master list" - an **XLS form** that contains existing and possible strategies applied by vulnerable populations in different settings (i.e. urban, rural or both). Please refer to the **Livelihood Coping Strategies for Food Security Tables in the VAM Resource Centre** for the full explanation of strategies, their use and verification examples.

Research has demonstrated that there is always a trade-off between the representativeness of a set of answers and the accuracy of those answers. A longer recall period generally provides information that is more representative of typical behaviour, but the longer the recall period, the less accurate the memory of respondents is about their actual behaviours. Thus, the recall period for each of the livelihood coping strategies is set at 30 days prior to the day of the survey. However, in the answer options, households can also report strategies being exhausted within the last 12 months.

During the past 30 days , did anyone in your household have to engage in any of the following activities due to a lack of food or money to buy it?	 10 = No, because we did not need to 20 = No, because we already sold those assets or have engaged in this activity within the last 12 months and cannot continue to do it 30= Yes 9999= Not applicable (don't have access to this strategy) 	Indicative se- verity of the strategy (Country office to attribute the relevant sever- ity, the following is just an exam- ple)	Variable names
1.1 Sold household assets/goods (ra- dio, furniture, television, jewellery, etc.) <i>due to a lack of food</i>	1_1	Stress	Lcs_stress_DomAsset

Table 2. Example of a livelihood-based coping strategies module for food security

1.2 Borrow money due to a lack of food	1_1	Stress	Lcs_stress_Borrow- Cash
1.3 Spent savings due to a lack of food	_	Stress	Lcs_stress_Saving
1.4 Sent household members to eat elsewhere <i>due to a lack of food</i>	1_1	Stress	Lcs_stress_EatOut
1.5 Sold productive assets or means of transport (sewing machine, wheel- barrow, bicycle, car, etc.) <i>due to a lack</i> <i>of food</i>	1_1	Crisis	Lcs_crisis_ProdAssets
1.6 Reduced expenses on health (in- cluding medications) <i>due to a lack of</i> <i>food</i>	1_1	Crisis	Lcs_crisis_Health
1.7 Withdrew children from school due to a lack of food	1_1	Crisis	Lcs_crisis_OutSchool
1.8 Mortgaged/sold the house where the household was permanently liv- ing or land <i>due to a lack of food</i>	1_1	Emergency	Lcs_em_ResAsset
1.9 Begged (asked strangers for money/food) or scavenged due to a lack of food	1_1	Emergency	Lcs_em_Begged
1.10 Engaged in socially degrading, high-risk, exploitive or life-threaten- ing jobs or income-generating activi- ties (e.g., smuggling, theft, joining armed groups, prostitution) <i>due to a</i> <i>lack of food</i>	1_1	Emergency	Lcs_em_IllegalAct

7. Procedures for developing context-specific coping strategies

It is vital to select the most appropriate strategies for the country's context with consideration to the target populations (e.g., residents, IDPs, migrants, urban, rural, etc.). If necessary, the number of strategies in the module can go beyond the mandatory 10 strategies to allow for comparisons of the same population's coping capacity across the data collection activities.

When formulating a new context-specific coping strategy, a clear distinction must be made between risk-management (ex-ante) strategies, and risk-coping strategies (ex-post) coping strategies. Risk-management strategies help to reduce a household's exposure to shocks such as having more than one job, or more than one household member contributing to income, before the occurrence of any event to increase household resilience to shocks.

However, these (ex-ante) strategies are not considered part of the LCS indicator. The LCS-FS indicator includes only risk-coping (ex-post) strategies to offset the effects of shocks after they occur. Therefore, the context-specific indicators should consider only behavioural changes made to cope with shocks, not those made to increase household resilience to adverse situations or shocks.

A list of coping behaviours can be established through focus group interviews with members of the local community **only** when the strategies provided in the available master list do not suffice or when the phrasing of the strategies needs to be slightly re-phrased for the context. The following procedures can be used to identify context-specific coping behaviours (Box 1).

Box 1: Principles for including livelihood coping behaviours in the LCS-FS

While there are many kinds of coping behaviours, only certain kinds of livelihood-related behaviours belong to the LCS. Ask each of the following questions about each identified behaviour:

LCS	Not LCS
Is this behaviour used to cope with an ex-	or is it meant to build resilience
isting shock (ex-post)?	(ex-ante)?
Does it influence households in the me-	or does the strategy involve
dium or long-term in terms of livelihood,	changing short-term food con-
human capital, or dignity?	sumption behaviours?
Is the strategy adopted as a coping mech- anism to shocks?	or is it part of the usual culture in the community?

Step 1: Desk Review

- Start with the list of strategies offered in the master table and select the strategies
 most applicable to the targeted population based on a clear understanding of the
 context. If you already have a list from previous data collection exercises, then consider the existing list of strategies (if still relevant and if there no major changes in
 the context have occurred) and expand from there.
- Through primary or secondary qualitative/quantitative data, determine which livelihood coping strategies households rely on when faced with a lack of food. This can be done in different (complementary) ways:
 - If you already have datasets with livelihood coping strategies, compute the share of households that use each of the strategies to understand their prevalence.
 - Look into secondary data sources and anecdotal information about the applied coping strategies in the community.
- If some of the strategies in your initial list do not apply to the target population, omit them. The remaining strategies should be scrutinized through FGDs as described in the next step.

Step 2: Focus group discussions to refine the list of strategies

Conduct FGDs with the relevant community(ies), where the four general categories
of coping strategies (see Table 1) would be presented, and the list of coping strategies
would be discussed.

- Discuss the current shocks as well as the historical shocks and how households and communities used to adapt to and cope with them.
- Probe to find out if any other relevant local strategies are not included in the list. If this is the case, then add them to the list.
- Reminder: Be sure that you only include (ex-post) livelihood coping strategies for food security. Ex-ante livelihood coping strategies and consumption-based strategies should not be included (See Box 1).
- Be sure to clarify and probe to understand if the suggested coping strategy is an actual coping strategy to a shock or part of normal customs in the community.
- You should repeat the exercise for several FGDs to ensure that the list reflects the broad consensus. Make sure that FGDs are conducted with different age groups (adults and youth) and genders (men and women), to understand the differences in their behaviours. It is also important to conduct FGDs with persons with disabilities in the presence of and with the support of their guardians, if needed.
- Although there is no hard rule on how many FGDs are "enough," a minimum of six to eight is recommended for each culture or location, or until saturation is reached; the main different social groups and minorities should be represented.

Box 2: Developing a long list of coping strategies through focus group discussions

Questions to be asked during FGDs:

- How does your household cope with shocks (e.g., flood, drought, conflict etc.)?
- How does your household cope to increase household resources to access food?
- How does your household cope to reduce the demand for food needs?
- How does your household cope to distribute food resources within the household?
- Probe to get all the relevant livelihood coping strategies, at the end use the principles in Box 1 to exclude the strategies that are not relevant to the LCS-FS module (ex-ante, short-term food-based, or part of cultural norms).

Step 3: Focus group discussions to determine the severity of strategies

- After developing the full list of coping strategies, and excluding the non-relevant ones, FGD participants will be asked to determine the severity of all the strategies in the list (least severe, most severe, and moderate-severe).
- It is possible to ask the same focus groups to first brainstorm the list (see Step 2), and then discuss severity. It is recommended to have separate discussion sessions within the same FGD so that the list of coping strategies or behaviours is established and agreed upon first, and then the severity is discussed.
- It is advisable to ask each FGD participant to individually assign a level of severity to each strategy, from lowest (least severe) to highest (most severe). A range of severity from one to three usually works well. The final determined severities of coping strategies are, to some extent based on a combination of perceptions by households (FGDs' consensus), and the expected medium or long-term impact on households foreseen by participants.

- It is always easiest to establish the extreme types of coping strategy, thus ask the group to select the most severe and least severe individual strategies first.
- Next, group the strategies identified under each severity into one sheet, then ask if the individual strategies under the same level are equivalent to how severe they are perceived to be. When those two extreme categories are established, it is easier to group the remaining behaviours into the moderate category.
- This must be done with enough groups representative of diversity within the population to ensure that a reasonable consensus emerges. Weighting the individual strategies on an insufficient number of FGDs can lead to errors in the analysis.

Box 3: probing to guide the severity discussion during the focus group discussion:

- Is it reversible, or can be reversed when it is no longer needed?
- Is it dramatic in nature, or includes protection risk (e.g., illegal, high risk, exploitive activities)?
- Can the behaviour be used continuously or is it a one-off strategy?

For more details on the FGD tools see Annex I: Example for Deriving Context-Specific Coping Strategies)

Step 4: Final Module

- The final list should form the main set of coping strategies; this set of coping strategies or individual behaviours represent the consensus of diverse groups in the community, location, or culture, which people rely on when faced with a lack of food or access to food.
- The final list does not need to include every single strategy mentioned (some are very rare) but should represent the consensus view of all the groups interviewed. Try to keep the list down to a feasible number (maximum 15 strategies).
- The list should not include any similar or overlapping coping strategies (i.e., different ways of describing the same behaviour).
- The list must contain strategies of different severity at least (4 stress, 3 crisis, 3 emergency).
- After taking the list of individual strategies developed for your questionnaire, the main question is how to interpret each of the answer options. What does *"exhausted in the past 12 months"* mean for each of the selected strategies? What does *"not applicable"* mean? What does *"no because we didn't need to"* mean; and what does *"yes, applied within the last 30 days"* mean? The newly developed strategies should be accompanied by a rationale and explanation of what each answer option means (see the list of strategies in the VAM resource centre).
- When deciding on a final list of strategies for this indicator, it is important to ensure that the same strategies are included and applied across different data collection exercises (food security assessments, mVAM/hunger monitoring, and outcome

monitoring) to allow for comparability at the target population level.

Step 5: Communicate the new coping strategies to HQ RAM - Needs Assessments and Targeting Unit

The newly developed strategies should be communicated to the HQ RAM-N Unit (**Global.As**sessmentAndTargeting@wfp.org):

- 1. The strategy statement
- 2. The rationale behind the strategy
- 3. Suggested severity
- 4. Explanations of each answer option (exhausted, No, N/A, Yes)

8. Training on LCS-FS data collection

During the enumerator training:

- Discuss the objectives of the questionnaire, i.e., to gain information on the livelihood coping strategies (for food security) applied by households in the targeted population. This includes the households' capacity to deal with recent shocks and ability to overcome future shocks. Explain the role of this indicator in food insecurity figures and the use of information, internally and externally. This gives the enumerators a clear understanding of the importance of the data they would be soon collecting.
- 2. Note how the main question is worded. Ask the enumerators to repeat the main question for each behaviour, to remind the respondent that
 - a. The question is referring to times when they did not have enough food or enough money to buy food.
 - b. Be sure the question includes the recall period (i.e., 30 days).
 - c. If the questionnaire is not written in the local dialect, discuss with the enumerators the translation of the question in the local dialect, to ensure that the meaning remains equal to the original wording.
- 3. Note that they should always be asking about the same period beginning from yesterday and counting backwards (i.e., "the last 30 days" not "the past month," or "last month"). Interviewees could get confused if the timeframe is not specific.
- 4. Carefully read the rationale of each strategy and explain the answer options to have a common agreement among enumerators on why we ask about each strategy, how we ask about it and how to interpret the answer options (see Table 1 of the standard strategies). When discussing the strategies and their response options, listen to the enumerators' examples (given their field experience) and provide clear guidance on the most appropriate LCS-FS answer options.

For training materials on the LCS-FS, refer to the available materials on the <u>VAM Resource</u> <u>Centre</u>.

9. Data Collection

Before the implementation of the LCS-FS module in a specific context, it is vital to pilot or field test it along with all other modules in the designed questionnaire. The pilot and field testing must generate feedback through both submitted quantitative data and qualitative notes to the analyst(s).

When enumerators collect data for LCS modules, they must stress the drivers that led to engaging in these livelihood coping strategies. The focus must be related '**to lack of food or money to buy it'**. If households indicate that these strategies are normal ongoing activities during interviews, then the answer option "10=No, because I did not need to" must be selected. When households don't have, for example, children or assets such as land, then enumerators are to select "9999= Not applicable (don't have access to this strategy)".

10. Quality assurance

Logic-based checks among modules cannot always be standardised as food security assessments and monitoring questionnaires differ from one country to another, depending on information needs, which extend beyond the standard corporate modules. However, in all surveys, visibility, and validation constraints (i.e., skip logic) must be set before the start of the data collection exercise and adjusted during the testing and piloting phases. As some logicbased rules could be missed in the design and piloting of the questionnaires, this can be implemented while the data collection exercise is in progress. If this opportunity is missed, the data analyst must ensure data quality through the triangulation of responses during the data cleaning phase. For example, the validity of answers to the LCS-FS module can be checked against responses to questions in the household demographics, income, expenditure, and wealth-asset modules. Some examples of logic-based validation rules for the LCS-FS are listed below:

- When the interviewee reports not having any school-aged children (6-18 years of age) in their household (under the HH composition section), then coping strategies such as 'withdrew children from school' or 'moved children to a less expensive school' must have an answer of N/A.
- The household assets section could be used to verify some of the answers to LCS-FS. For example, if a household reports current ownership of productive assets, then a coping strategy related to those assets cannot be recorded as "exhausted".

In addition to logic-based checks, it is also important to track the frequency of responses per enumerator to detect possible outliers. This could be done through cross-tabulation of the individual strategies and the LCS-FS indicators by enumerator. If some enumerators tend to classify households very differently than the average, this could be due to lack of understanding of the LCS-FS question, and then enumerators can be detected and re-trained. This could be checked only when enumerators have conducted several interviews.

11. Analysis of the LCS-FS Data

Using data analysis software, the analyst must build a new dichotomous variable for each coping severity level, representing if a household adopted any strategy with that level of severity. Three **dichotomous variables** need to be created:

- stress_coping
- crisis_coping
- emergency_coping

As a reminder, the final module must have 4 stress, 3 crisis, and 3 emergency strategies for the analysis. If more than 10 have been included, then use the most frequently applied strategies within each severity level. To determine which should be included in the LCS indicator, the analyst must run frequencies on each strategy to understand the percentage of N/A responses. If there are 50% or more N/A responses, it is recommended that these strategies are not included in the calculation. This may indicate that they are not likely to be relevant as they may indicate a protracted crisis where these strategies have been exhausted, or they might not be the relevant coping strategies. It may also be helpful to consider any previously conducted assessments on this population group, if available.

In the example module (Table 2), four 'stress' level strategies are included: 1.1, 1.2, 1.3, and 1.4. In this example, if a household responds 'yes' to any of these strategies, they would be assigned a '1' for the dichotomous variable 'stress_coping'. Similarly, if the household reports being unable to employ any of the strategies because they had already exhausted it (e.g., they've already spent all their savings to cope), they are also considered to have experienced that strategy, and thus are assigned a '1' for the dichotomous variable 'stress_coping'.

As discussed in the previous paragraph, if a household responds "*No, because we already sold those assets or have engaged in this activity within the last 12 months and cannot continue to*" to, that household is considered to have experienced that strategy. In such cases, the household's response would be converted to a 'yes' response at the analysis stage, because the recently exhausted coping strategy could still enable the household to 'survive'. In other words, households are still coping from having applied a strategy at an earlier time (within the past 12 months). Thus, if a household reported '*No, because we already sold these assets….*', the household would be assigned a '1' for the variable 'stress_coping'.

Conversely, if a household responds with "No because we did not need to" or "Not applicable (don't have access to this strategy)", then the household is considered to not have experienced that strategy. It is important to distinguish between the two responses, as one indicates that the household could count on a strategy if needed, while the other answer option reveals that a household does not have access to a particular strategy, thus wouldn't be able to rely on it to cope with a lack of food or money to buy it. However, both responses are converted into 'no_coping' at the analysis stage.

Finally, a **categorical variable** is built, representing the severity level of the most severe strategy that a household adopted. The categorical variable ranges from 1 to 4 and reflect one of four groups in which households are allocated:

- no use of stress, crisis, or emergency strategies
- use of stress strategies
- use of crisis strategies
- use of emergency strategies

If a household used an emergency coping strategy, it will be assigned a 4 "use of emergency strategies". If a household used a crisis coping strategy, but not an emergency coping strategy, it will be assigned a 3 for "use of crisis strategies". See relevant scripts <u>here</u>.

In case a needs assessment has an essential needs lens and the LCS-EN module is being used, then it can be converted to LCS-FS at the analysis stage. The LCS-FS indicator can be calculated by considering households (cases) that ticked 'to buy food' as one of the reasons for adopting these coping strategies. See relevant syntax <u>here</u>.

12. Convergence

The LCS-FS results do not necessarily provide an overall picture of the food security situation amongst a given population. Hence, where possible, the use of more than one indicator of food security is needed (i.e., food consumption score (FCS), rCSI, food expenditure share (FES), economic capacity to meet essential needs (ECMEN) and Asset Ownership). This not only permits "triangulation" of findings but also deliberately uses indicators that capture different elements of the complex notion of food insecurity. This enables the convergence of findings, providing greater confirmation of food security status. Refer to the <u>Consolidated</u> <u>Approach for Reporting Indicators of Food Security</u> for more information on the convergence of indicators.

13. Limitations

The LCS-FS indicator does not capture the number of times each strategy was applied by a household but only whether it was applied at least once within the last 30 days or whether it was exhausted within the last 12 months. Furthermore, the calculation of the indicator does not distinguish between households that may have applied more than one strategy under each of the severity categories (dichotomous variables). For example, a household may have relied on all four stress strategies, meanwhile, another household may have only relied on one stress strategy, and both would be classified the same under this indicator (i.e., stress coping). Therefore, a descriptive presentation of the prevalence of individual coping strategies is important to complement the analysis.

This indicator has some limitations in capturing the weakened coping capacities of households in protracted crisis settings, as households have been facing reoccurring and/or multiple shocks for prolonged periods (e.g., Yemen, Somalia, Syria, etc.). Thus, many households in such contexts may have already exhausted certain capacities to respond to shocks through certain livelihood coping strategies prior to the 12 months preceding the interview. Therefore, contextualization of the list of coping strategies is needed and should be updated whenever there is a context change.

The LCS-FS classifies the households into four categories (no coping, stress, crisis or emergency), which corresponds to phases 1, 2, 3 and 4 from the IPC reference table. According to the table, households should be classified in phase 5 when there is a **near exhaustion of coping capacity**. While **complete** exhaustion of all coping strategies could be quantifiable by counting the household who reported exhaustion of all applicable coping strategies, there is no clear definition of how to quantify "near exhaustion". Therefore, further work with IPC technical team is needed to unfold the terminology to support the classification of phase 5 when relevant.

14. Interpreting the LCS-FS

To analyse the LCS-FS, it is common practice to report the share of households within each coping strategies group (no coping, stress, crisis or emergency) and then describe which are the most common strategies used like in the below

example:

"Analysis results of the LCS-FS indicator have shown that 47 percent of interviewed households reported having relied on livelihood coping strategies in the previous month or having exhausted them within the last 12 months due to a lack of food or money to buy it.

Comparisons between households headed by females and males have shown a higher proportion of those headed by females relying on stress coping strategies due to a lack of food or money to buy it. This may be explained by the limited work opportunities for women. "



Figure 1 - Proportion of households relying on livelihood coping strategies for food security

"When looking at the analysis results by the individual coping strategies, it becomes apparent that borrowing money to cover food needs (30%), spending of savings (16%), as well as the reduction of expenditures on essential health (15%), are the strategies most applied by households.

In addition, a relatively high proportion of households (9%) resorted to selling their last female animal. Resorting to this strategy may come with negative longterm consequences on the livelihoods of the affected households as it may be difficult to reverse this strategy; female animals are the reproductive assets for livestock owners, that provide their households with milk and more animals for income generation."

The above graphs illustrate how to present the summary of the LCS-FS indicator and present the results of each of the collected individual coping strategies in the applied LCS-FS module. It is advisable to examine each strategy individually to better understand the summary indicator and allow for clear and accurate interpretation. See the figure below for an additional

10% 20% 30% 40% 50%

30%

16%

15%

9%

9%

9%

9%

5%

2%

0%

6%

Figure 2 - Proportion of households relying on each of the livelihood coping strategies for food security

Spent savings

Borrowed money to cover food needs

Sold household assets/goods (radio,

furniture, television, jewellery, etc.)

(including drugs)

Sold productive assets or means of

transport Consumed seed stocks that were to be

saved for the next season

Sold the last female (productive) animal

Mortgaged/sold the house where the

household was permanently living or.

Begged (asked strangers on the streets

for money/food) or scavenged

Sent household members to eat

elsewhere Reduced expenses on essential health

Stress

Crisis

Emergency

example of how to display and compare disaggregated results, for example by the sex of the head of household.

Figure 3 - Proportion of households relying on each of the livelihood coping strategies for food security, by sex of household head



When visualizing or reporting on results, it is vital to make a clear differentiation between indicative and statistically representative results. To learn more about statistical representativeness, please refer to the <u>Data Stratification and Disaggregation Paper</u>.

When analysing and reporting disaggregated results, the sample sizes (unweighted counts) must be considered to check the frequency of respondents reporting these behaviours.

Colour coding for the prevalence of livelihood coping strategies

A standard colour pallet has been set for visualising the LCS-FS results. The colour codes in RBG and HEX in the column order of visualisation are indicated in the below table.

Coping Classification	Colour Code (RGB)	HEX
No coping	241, 236, 232	#F1ECE8
Stress coping	213, 184, 104	#D5B868
Crisis coping	243,120,71	#F37847
Emergency coping	192, 0, 0	#C00000

Annex I: Example for Deriving Context-Specific Coping Strategies

Step 1: Qualitative Research Questions and Themes

In order to understand how local populations cope with the lack of food or money to buy food, qualitative data collection should be carried out through FGDs. The research question "What do people do when they do not have enough food to eat or money to buy food?" must be answered. For each identified strategy, the following themes should be discussed to determine the severity of each strategy:

- 1. Discuss how each strategy would influence the **households' capacity to manage future shocks**.
- 2. Discuss how each strategy would influence the **households' future productivity, including human capital formation**.
- 3. Discuss how each strategy would influence the **households' future productivity, keeping in mind the lack of possibility of reversing the situation**.

After finishing the discussion on all possible coping strategies applied by the community (the first 4 columns of Table 3), take a break and then ask participants to agree on the severity of each strategy (the fifth column of Table 3). Start with the most severe, then the less severe, and then the moderate category will follow.

See Table 3 for an Example of derived livelihood-based coping strategies for food security.

No.	List of coping strategies [What do people do when they do not have enough food to eat or money to buy food?]	Strategies that would influ- ence a house- hold's capac- ity to manage future shocks.	Strategies that would influ- ence a house- hold's future productivity, including hu- man capital formation.	Strategies that would influ- ence a house- hold's future productivity, with the un- likelihood of reversing the situation.	Focus group par- ticipants agree on the severity of each strategy. Se- verity scale: 1-3 (three being most severe) Note: this agree- ment could be done after individual rat- ing or collectively in the group
1	Slaughter more animals than usual	x	x		1
2	Gather and consume wild food			x	3
3	Reduce non-food expenses on health		x		2
4	Exchange household items or clothes for food	x			1
5	Sell productive assets or means of transport	x	x		2
6	Move to cheaper accommodation	x	x		2
7	Marriage of a female child under the age of 15		x	x	3
8	Members migrate informally		x		2
9	Spend savings (not income)	x			1
10	Borrow money	x			1

Table 3. Example of derived livelihood-based coping strategies for food security

11	Children (under 15 years old) work to contrib- ute to household income (e.g., maid, casual labour)	x	x		2
12	Harvested immature crops (e.g., green maize)		x	x	2
13	Farmland lease out		x	x	3
14	Sale of assets (e.g., jewellery)	x	x		1

If the FGD moderators observe that the participants have difficulties in rating the strategies by severity, it is possible to take the process of rating severities in a different direction. The FGD participants can be asked to order the relevant coping strategies through discussion in the form of a timeline starting from the main or the most recent shock that affected the community. Participants should indicate which strategies were applied first, next, and last. This can give an indication of the severity level perceived by households.

For example, the first action a household would take when faced with a shock is to attempt to minimize risks and manage their food consumption and essential needs. The second strategy(ies) employed by the household in distress is the gradual disposal of resources - for example, spending savings, selling simple assets, or borrowing money. If the situation persists or worsens, then they would resort to crisis coping strategies, and when, for example, productive assets are sold or essential healthcare/medication expenditures are reduced, it becomes more difficult for the person or household to return to a pre-crisis state. Finally, the household may resort to selling their only house/land, which is a sign of failure to cope with the crisis.

The adoption of stress, crisis and emergency livelihood coping strategies typically occurs in a sequence form, rather than simultaneously. Thus, relevant strategies being discussed can be presented in a timeline by the FGD participants in order to determine the severities.

Step 2: Aggregation of Strategies by Severity per FGD

Group the strategies with similar severity and discuss them with each of the discussion groups, one at a time.

- **Step 1:** Start with severity 1 and 3, then severity 2.
- **Step 2:** Discuss with the group whether it makes sense that the strategies under each category have the same level of severity. Apply changes if there is an agreement to move strategies between different severity groups.

See Table 4 for an Example of grouping livelihood-based coping strategies by severity.

Severity groups	Livelihood coping strategies reported by FGD participants		
Severity 1	1. Slaughter more animals than usual		
	2. Exchange household items or clothes for food		
	3. Spend savings (not income)		
	4. Borrow money		
	5. Sale of assets (e.g., jewellery)		
Severity 3	6. Gather and consume wild food		
	7. Marriage of a female child under the age of 15		
	8. Farmland lease out		
Severity 2	9. Reduce non-food expenses on health		
	10. Sell productive assets or means of transport		
	11. Move to cheaper accommodation		
	12. Members migrate informally		
	13. Children (under 15 years old) work to contribute to household in- come (e.g., maid, casual labour)		
	14. Harvested immature crops (e.g., green maize)		

Table 4. Example of grouping livelihood-based coping strategies by severity

Step 3: Consensus on the Final Severities

Collect the final inputs from all FGDs and decide on the final severity.

After rating and discussing the perceived severities of the coping strategies with each of the FGDs, include the ratings in a matrix (see Table 5) and take the most frequent response (the mode value) for each strategy. If there are two values of the same frequency, consider taking the highest as the final severity for this strategy.

In the example below (Table 5):

- Eight different focus groups were conducted to gather perceptions of the severity of the various individual strategies.
- Overall, the severities were determined based on the highest prevalence for each strategy across the eight FGDs and later grouped into three categories, where severity 1 indicates stress, severity 3 indicates an emergency, and severity 2 is a crisis.

		Focus group discussions								Overall
Reported strategies		FGD #1	FGD #2	FGD #3	FGD #4	FGD #5	FGD #6	FGD #7	FGD #8	Severity
1.	Slaughter more animals than usual	2	1	2	1	2	1	1	1	Severity 1
2.	Gather and consume wild food	3	3	3	1	3	3	2	3	Severity 3
3.	Reduce expenses on essential health	2	3	2	2	3	2	2	2	Severity 2
4.	Exchange household items or clothes for food	1	2	1	1	1	1	1	1	Severity 1
5.	Sell productive assets or means of transport	2	1	2	2	1	2	2	2	Severity 2
6.	Move to cheaper accommodation	2	2	2	2	2	2	2	1	Severity 2
7.	Marriage of a female child under (15)	3	2	3	2	2	3	3	1	Severity 3
8.	Members migrate informally	3	2	2	2	2	1	3	2	Severity 2
9.	Spend savings (not income)	1	1	1	1	1	1	1	1	Severity 1
10.	Borrow money	2	1	1	1	2	1	1	1	Severity 1
11.	Children (under 15 years old) work to contribute to household income (e.g., maid, casual labour)	3	3	2	3	2	2	2	2	Severity 2
12.	Harvested immature crops (e.g., green maize)	2	3	2	2	2	3	2	2	Severity 2
13.	Farmland lease out	2	3	2	2	3	3	3	3	Severity 3
14.	Sale of assets (e.g. jewellery)	2	1	1	1	2	1	2	1	Severity 1

Table 5. Example of aggregation of severities for each of the derived livelihood coping strategy across all group discussions

For additional guidance related to qualitative research, refer to WFP's **Qualitative Research Methods** page for information. For examples on qualitative data collection tool please visit **the VAM resource centre.**

Acronyms

CARI	Consolidated Approach for Reporting on Food Insecurity
СН	Cadre Harmonisé
СО	Country Office
ECMEN	Economic Capacity to Meet Essential Needs
FES	Food Expenditure Share
FGD	Focus Group Discussion
FCS	Food Consumption Score
IPC	Integrated Food Security Phase Classification
LCS	Livelihood Coping Strategies
LCS-FS	Livelihood Coping Strategies for Food Security
LCS-EN	Livelihood Coping Strategies for Essential Needs

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