



WFP EVALUATION



World Food Programme

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CHANGING LIVES

Final Evaluation of Adapting to Climate Change through Integrated Risk Management Strategies and Enhanced Market Opportunities for Resilient Food Security and Livelihoods in Malawi from 2020 to 2025

Decentralized evaluation report – Volume 2
WFP Malawi Country Office

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Annex 14. Quantitative data collection tool

INSTRUCTIONS

1. This questionnaire is to be administered to ONLY sampled beneficiary households.
2. Only one respondent per households will be illegible, however they may ask for help from other household members where necessary.
3. Inform the respondent of the purpose of the study, get the consent and indicate that participation is purely voluntary before starting an interview.
4. Inform the respondent of the approximate time it would take to complete the questionnaire (based on pretesting and your experience).
5. If the respondent does not consent to their participation into the study, DO **NOT** force them, please go to the next selected respondent.

INTRODUCTION & CONSENT

Hello!

- My name is [*Enumerator name*]. I am working on the endline survey data collection for the Adaptation Fund project in your area on behalf of Kadale.
- Now we are surveying at the end of the project to obtain information that will be used to determine the status of the project's outcomes and impacts after ## years of the project implementation. The objective of the endline survey is to support WFP operational planning and decisions regarding its future Adaptation Fund intervention to assist the most vulnerable population. An interview usually takes **60 minutes** to complete.
- Any information you provide will only be used by WFP and is not subject to further disclosure. It will be used to prepare reports but will not include any specific names. It will be ***confidential*** and there will be no way to identify that you gave this information.
- A sample of 520 project participants has been selected for the endline survey. You (your household) have been chosen for this interview ***by chance*** (randomly) from the list of all AF project participants. Your participation in the survey is ***voluntary***. We need your genuine responses and hope that you agree to participate.

Could you please spare some time (around one hour) for the interview?

Do you have any questions about the survey?

May I begin the interview now?

RESPONDENT CONSENTED TO BE INTERVIEWED1 →START INTERVIEW

RESPONDENT DECLINED TO BE INTERVIEWED.....2 →Fill-up SAMPLE IDENTIFICATION→END

Name of the Enumerator: _____ Date: - -

Signature of the Enumerator: _____ dd mm YYYY

SURVEY QUALITY CONTROL

1. Date	___/___/____/ Day Month Year	2. Start time	/___/___/	3. End time	/___/___/
8. Name of the supervisor _____			9. Name of Enumerator _____		

HOUSEHOLD IDENTIFICATION

Qn #	QUESTION	CODING CATEGORIES	SKIP RULE
A1	District	01=Balaka 02=Machinga 03=Zomba	
A2	EPA (all to be listed)	List.....	
A3	TA Name	List.....	
A4	Group Village name	List.....	
5	Village Name	List.....	
A6	Household type	1=Male headed household 2=Female headed household 3=Child headed household 4=Elderly headed (60 years and above)	
A7	Household ID (5-digit number)	_ _ _ _	
A8	Household cell phone number	_ _ _ _	
A9	Household cell phone number	_ _ _ _	
A10	Respondent's National ID number	_ _ _ _	
A11	In which language or languages would you like to receive written information? (check all that apply) LIST	1=Chichewa 2=Chiyao 3=Chilomwe 4=Chisena 5=Chimang'anja 6=Chitumbuka 8=Others	
A12	Activity in which household participates:	1=Soil and Water Conservation 2=Insurance as a risk transfer mechanism 3=Agricultural produce marketing 4=Credit/Microfinance Institution	

A13a	How many persons (household members) are in this household (including the household head)?	□□ Persons	
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A. HOUSEHOLD DEMOGRAPHICS

[INSTRUCTION: PLEASE ASK THIS QUESTION FOR THE HOUSEHOLD MEMBERS WHO LIVE IN THE SAME HOUSE UNDER THE SAME ROOF AND TAKE MEALS TOGETHER BY COOKING IN THE SAME POT. HOUSEHOLD MEMBERS WHO LIVE OUTSIDE FOR MORE THAN 6 MONTHS SHOULD BE EXCLUDED FROM THE HOUSEHOLD MEMBERS COUNT.]

INTRODUCTION: Now I would like to ask you about the household, the members living in this household, and the livelihood of your household

HH Mem ID	Name of the Household Member	What is [ID]'s relationship to the HH Head [ENTER CODE]	[ID]'s Sex 1 = Male 2 = Female 3 = Other	[ID]'s Age [Age in Complete Years] [Enter 0 if Age<1 year, Enter 888 if Don't know, Enter 999 if Refused]	Does [ID] have any of the following disabilities? CODE: [1= No - no difficulty, 2=Yes - some difficulty, 3=Yes- a lot of difficulty, 4=Cannot do at all]						IF AGE IS 5 YEARS OR OLDER		IF AGE IS 10 YEARS OR OLDER
					Difficulty seeing even if wearing glasses?	Difficulty hearing even if using hearing aids?	Difficulty walking or climbing stairs?	Difficulty remembering/ concentrating?	Difficulty with self-care, such as, washing all over or dressing?	Difficulty communicating? [understanding or being understood]	Has [ID] ever attended School? YES=1 NO=2	What is the highest grade [ID] has completed? [ENTER CODE]	[ID]'s Marital status? [ENTER CODE]
A13	A14	A15	A16	A17	A18A	A18B	A18C	A18D	A18E	A18F	A19A	A19B	A20
01		□□	□	□□□	□	□	□	□	□	□	□	□	□
02		□□	□	□□□	□	□	□	□	□	□	□	□	□
03		□□	□	□□□	□	□	□	□	□	□	□	□	□
04		□□	□	□□□	□	□	□	□	□	□	□	□	□
05		□□	□	□□□	□	□	□	□	□	□	□	□	□
06		□□	□	□□□	□	□	□	□	□	□	□	□	□
07		□□	□	□□□	□	□	□	□	□	□	□	□	□
08		□□	□	□□□	□	□	□	□	□	□	□	□	□
09		□□	□	□□□	□	□	□	□	□	□	□	□	□
10		□□	□	□□□	□	□	□	□	□	□	□	□	□

CODE LIST

CODE FOR A15

01=Household Head	6=Brother/sister	11=Cousin
2=Spouse	7=Niece/nephew	12=Other relative
3=Own child	8=Brother/sister - in law	13=Maid/nanny/house-servant
4=Stepchild	9=Parent	14= Non-relative
5=Grand child	10=Parent-in-Law	15 = Other (Specify)

CODE FOR A19B

1=Primary
2=Secondary
3=Tertiary
4=Other, specify
99=Not applicable

CODE FOR A20

1=Single (Never married)
2=Married
3=Separated
4=Divorced
5=Widowed
99=Not applicable

B. PRIME RESPONDENT

Qn #	QUESTION	CODING CATEGORIES	SKIP RULE
B1	Enter the Household Member's ID from the household roster [A13] for the Prime Respondent :	<input type="checkbox"/> <input type="checkbox"/>	

C. HOUSEHOLD CHARACTERISTICS AND ASSETS

Qn #	QUESTION	RESPONSE	
C5	What type of toilet facility is currently MAINLY used by household members?		
C5b	Does the household have a handwashing facility?	_____	
C6	What is currently the MAIN SOURCE of drinking water for the household?	_____	
C7	What is the round trip (two way) distance from your house to the source of water you most commonly use for drinking water this season?	_____	
C8	How long does it currently take for you to collect drinking water and come back?	_____	
C8b	How long does it take for you to wait to draw water?		
C15	What is the main source of energy used to cook meals for the household?		
C15b	Please specify		
C20	How does your household dispose of household waste?		
C20b	Please specify		

<p>LIST C5</p> <p>1 = Own flush toilet 2 = Shared flush toilet 3 = Open pit 4 = Pit latrine 5 = No toilet/field/bush 6 = Pit latrine with Sanplat 7 = Other</p> <p>LIST CC5b</p> <p>1=Yes 2=No</p>	<p>LIST C6</p> <p>1 = Interior plumbing 2 = Protected interior wells 3 = Unprotected interior well 4 = Interior borehole 5 = Water tank/water service 6 = Water vendor 7 = Water bottles/bags 8 = Public borehole 9 = Protected exterior wells 10 = Unprotected exterior wells</p>	<p>LIST C7</p> <p>1 = less than 2 km 2 = 2 to 4 km 3 = 4 to 6 km 4 = 6 to 8 km 5 = 8 to 10 km 8 = Over 10 km</p> <p>LIST C8 & C8b</p> <p>1 = No time 2 = Less than 30 mins</p>	<p>LIST C15</p> <p>1 = Biogas 2 = Firewood 3 = Charcoal 4 = Gas/Kerosene 5 = Plant residuals 6 = Animal dung 7 = Electricity 97 = Other, specify</p> <p>LIST C20</p>
---	--	--	---

	11 = River/Stream/Lake 12 = Rainwater/spring 13 = Dam/Canal 14 = Water tower 15 = Piped water in the yard or house 97 = Other, specify	3 = More than 30 mins but less than 1hr 4 = More than 1 hr but less than 2 hrs 5 = More than 2 hrs 6 = I don't know	1 = Burning 2 = Deposit in open pit 3 = Burying in close pit 4 = Throw away (bush, stream) 5 = Pit Latrine 97 = Other, specify
--	---	--	---

D. ASSETS

Qn #	QUESTION	RESPONSE	CODING CATEGORY
D1	Does any member of the household possess a radio?		1 = Yes 2 = No
D2	How many radios in working condition are there in the household?	_____	
D3	Does any member of the household possess a cell phone?		1 = Yes 2 = No
D4	How many mobile phones in working condition are there in the household?	_____	
D5	Does any member of the household possess a solar lamp?	_____	1 = Yes 2 = No
D6	How many solar lamps in working condition are there in the household?	_____	
D7	Does any member of the household possess an energy saving stove?		1 = Yes 2 = No
D8	How many energy saving stoves in working condition are there in the household?	_____	
D9	Does the household possess a backyard garden?		1 = Yes 2 = No
D10	Are there crops planted in the garden?		1 = Yes 2 = No
D11	How many community gardens do you have in your village?	_____	
D12	Does the household possess fruit trees?		1 = Yes 2 = No
D13	What type of fruit trees does the household possess?		1 = Local varieties 2 = Hybrid/fast maturing varieties
D14	Specify the local variety fruit	_____	
D15	Specify the improved variety fruit	_____	
D16	How many fruit trees are there in the household?	_____	
D16a	What were the sources of the trees?		1 = WFP 2 = Government 3 = Other NGOs 4 = Cooperatives 4 = Relatives/neighbour

			6 = Bought 7 = Other
D17	Did you pay for the fruit trees you received or collected from the sources?		1= Yes, paid 2= No, it was free 3= Prefer not to say
D18	For the fruit trees or back yard garden, how is the produce used?		1 = Own consumption 2 = Sale of produce 3 = Both consumption and sale 4 = Other
D19	Does your household possess any improved leguminous trees?		1 = Yes 2 = No
D20	Does your household possess any improved folder trees?		1 = Yes 2 = No

E. AGRICULTURE PRODUCTION 1-CROP PRODUCTION

Enumerator: Begin by drawing a sketch of all the plots under own production and the crops cultivated on each plot, referring to the detailed instructions in your enumerator handbook. Calculate land area under cultivation for each crop on separate sheets and enter final calculation here.

Qn #	QUESTION	RESPONSE	CODING CATEGORY
E1	What is the total land area owned by your household?	_____ (Acres)	
E2	What is the total land area rented out by the household?		(Acres)
E3	What is the total land area rented-in?		(Acres)
E4	What is the total land area cultivated in the (2024/2025) season?	_____	(Acres)
E65	What was the total land area cultivated in 2024/2025 season that was under conservation agriculture (minimum tillage, crop diversity, retention of crop residues)? <i>(Enumerator: please use plot sketch to calculate)</i>	_____	(Acres)
E6a	What was the land area cultivated in the last season (2024/2025) under rain-fed? <i>(Enumerator: please use plot sketch to calculate)</i>	_____	(Acres)
E6b	Were you involved in irrigation farming in 2024/2025 farming season		1 = Yes 2 = No
E6c	What was land area cultivated in the last season (2024/2025) under irrigation?		

E7	E9a	E9c	E10	E11b	E12	E13	E14	E15	E18	E19	E20	E21	E22
----	-----	-----	-----	------	-----	-----	-----	-----	-----	-----	-----	-----	-----

Crop Name	Which seed did you use for this crop [Name]?	Did you harvest any of this crop in 2023/2024 agriculture season? 1=Yes→11b 0=No	What was the main reason why you did not harvest this crop in 2023/2024 agriculture season?	Compared to previous harvest 2023, has your production of this crop changed [Code]	Did you have any post-harvest losses of this crop [Name] in the last season? 1=Yes 2=No→14	What was the reason for post-harvest losses? ► E 14 Codes for harvest state	Did you sell any of this crop produced in 2023/2024 marketing season? 1=Yes→18 0=No	What was the main reason why you did not sell any of this crop in 2023/2024 marketing season? (► skip to F1)	Where did you sell this crop in 2023/2024 marketing season? <i>ask for main market</i>	Which month did you sell your crops in the 2024/2025 marketing season?	Why did you sell this crop in 2023/2024 marketing season (main reason)?	Did you have access to storage for this crop? 1=Yes 0=No	What type of storage was this? (► next crop)
			► E13 Codes			If '1' (► skip to E17)	► E16 Codes	► E20 Codes	► E21 Codes	► E24 Codes	(if '0' ► next line)	► E26 Codes	

CODES FOR MODULE D: AGRICULTURAL PRODUCTION I - CROP PRODUCTION

UNIT CODES		E8 CROPS CODES	
1=Kg	13=20l basket/basin	1=Maize	10=Beans
2=Number	14=10l basket/basin	2=Rice	11=Pigeon peas
3=100 Kg sack	15=Big bunch (12 clusters)	3=Cassava	12=Cotton
4=50 Kg sack	16=Med bunch (8 clusters)	4=Groundnuts	13=Eggplant
5=25 Kg sack	17=Small bunch (5 cluster)	5=Sweet potatoes	14=Cabbage
6=20 Kg bucket	18=2l jug/mug	6=Irish potatoes	15=Carrots
7=5 kg <i>meda/chigoba</i>	19=1l jug/mug	7=Onions	16=Sugar cane
8=20 l can	20=½l jug/mug	8=Tomatoes	17=Sunflower
9=10 l can	21=Big bundle	9=Cowpeas	18=Pumpkin
10=5 l can	22=Medium bundle		
11=3 l can	23=Small bundle		
12=1 l can	24=Oxcart		
			19=Bananas
			20=Soya beans
			21=Bambara nuts
			22=Millet
			23=Sorghum
			97=Other

E9 CODES	E9a CODES	E10 CODES	E11b	E13	E15 CODES	E17 HARVEST STATE CODES	E18 CODES	E19 CODES	E20 CODES	E25 CODES
1=Acre	1 = Local seed 2 = Hybrid seed 3 = OPV seed	1=Crop failure 2=Lack of labour 3=Lack of farm inputs 4=Poor quality of farm inputs 5=Pest/ disease 6=Hailstorm 7=Theft 8=Other	1 = Same 2 = Increased 3 = Decreased	1= Rodents 2=Insect infestation (e.g. Weevils) 3=Rotting/ wet 4=Discoloured 5=Infested by termites 6=Theft 7=Others	1=Wanted to sell but price too low 2=Had surplus but did not want to sell 3=Had no surplus to sell 4=Market too far 5=High transport cost 6=Poor quality of crop 7=Post harvest loss 8=Stored for future use (seed) 9=Stored for future use (consumption) 10=Other	1=Dried 2=Threshed 3=Fresh	1=Neighbour 2=Family member 3=Shop 4=Itinerant vendor 5=Association/ cooperative 6=Clients in the market 7=Company/ wholesaler 8=Outside the country 9=NGO 10=Aggregation centre 11=Food Reserve Agency 12=Other (specify)	1=January 2=February 3=March 4=April 5=May 6=June 7=July 8=August 9=September 10=October 11=November 12=December	1=Need of cash for food consumption 2=Need of cash for other basic needs 3=Repay loans 4=Sold surplus for profit 5=Purchase of inputs 6=Other (specify)	1 = Underground 2=Open crib 3=Closed mud structure 4=Covered crib with sides made of wood, / branches (no mud) 5=Cement plastered structure 6=Brick structure 7=House 8=In the bush 9=Other, specify

F. AGRICULTURAL PRODUCTION II – CONSERVATION AGRICULTURE

Responds indicators 2.2.1, 2.2.2 and 2.2.3

Qn #	QUESTION	RESPONSE	CODING CATEGORY
F1	Did you receive training in conservation agriculture (CA)?		1 = Yes 2 = No
F2	Who trained you on CA?		1 = Government of Malawi extension worker 2 = NGO, 3 = Lead farmer 97 = Other
F3	Have you heard of crop production with minimum tillage (e.g. basins, ripping) as a conservation agriculture practice:		1 = Yes 2 = No
F4	Did you apply this conservation agriculture practice during last season (2024/2025)?		1 = Yes 2 = No
F5	Have you heard of this conservation agriculture practice: Crop production with crop rotation		1 = Yes 2 = No
F6	Did you apply this conservation agriculture practice during last season (2024/2025)?		1 = Yes 2 = No
F7	Have you heard of this conservation agriculture practice: Crop production with agroforestry		1 = Yes 2 = No
F8	Did you apply this conservation agriculture practice during last season (2024/2025)?		1 = Yes 2 = No
F9	Have you heard of this conservation agriculture practice: Crop production with soil cover (e.g. crop residues)		1 = Yes 2 = No
F10	Did you apply this conservation agriculture practice during last season (2024/2025)?		1 = Yes 2 = No
F11	Have you heard of this conservation agriculture practice: Crop diversification		1 = Yes 2 = No
F12	Did you apply this conservation agriculture practice during last season (2024/2025)?		1 = Yes 2 = No
F13		F13a. Have you heard of any of these soil fertility improvement measures?	F13b. Did you apply any of these measures during the 2023/2024 agriculture season?
1	Gully reclamation	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
2	Check dams	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
3	Vetiver planting	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
4	Application of compost and <i>kholo</i> manure	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
5	Contour ridging	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
6	Swales/markers	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
7	Deep trench	1 = Yes 2 = No → Next measures	1 = Yes 2 = No

Qn #	QUESTION	RESPONSE	CODING CATEGORY
8	Stone bund	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
9	Eyebrows	1 = Yes 2 = No → Next measures	1 = Yes 2 = No
10	Other	1 = Yes 2 = No → F13a_G1	1 = Yes 2 = No

G. LIVESTOCK PRODUCTION

Indicate the number of livestock that the household owns. Livestock held temporarily on behalf of other households / farmers should not be counted.
(Remember to probe further whether there has been any change in the livestock numbers owned)

G1	G2	G3	G4	G5	G6	G7	
Have you owned any of these livestock in the last 6 months? 1 = Yes 0 = No (► skip to next livestock category)	How many livestock do you currently own? [Date of interview]	Is this different from six months ago? [1=Yes, increased 2=Yes, decreased 3=No change → G5]	If increased or decreased, state main reason for change [1=Sale 2=Purchased 3=Gift 4=Stolen 5=Died 6=Reproduction 7=Consumption 8=Received from AF project 97 = Other (specify)]	Who is the primary owner of [...]? [1=Male 2=Female 3=Jointly]	What is the source of the livestock? 1 = WFP 2 = Government 3 = Other NGOs 4 = Cooperatives 4 = Relatives/Neighbours 6 = Bought 7 = Other	Did you pay for the livestock you received or collected? 1 = Yes, paid 2 = No, it was free 3 = Prefer not to say	Did you sell any of this crop produced in 2023/2024 marketing season ? 1 = Yes 0 = No
G1.1 Cattle	_	_ _ _ _	_	_	_	_	
G1.2 Goats	_	_ _ _ _	_	_	_	_	
G1.3 Pigs	_	_ _ _ _	_	_	_	_	
G1.4 Sheep	_	_ _ _ _	_	_	_	_	
G1.5 Donkeys	_	_ _ _ _	_	_	_	_	
G1.6 Chickens	_	_ _ _ _	_	_	_	_	
G1.7 Guinea fowls	_	_ _ _ _	_	_	_	_	
G1.8 Ducks	_	_ _ _ _	_	_	_	_	
G1.9 Pigeons	_	_ _ _ _	_	_	_	_	

G1.10 Rabbits	_	_ _ _	_	_	_	_	_	_
G1.11 Other, specify	_	_ _ _	_	_	_	_	_	_

Qn #	QUESTION	RESPONSE	CODING CATEGORY
G8.	Does your household have a <i>khola</i> (livestock shelter)?		1 = Yes 0 = No → G11
G9.	What is the design of the <i>khola</i> ? (Observed)		1 = Modern/improved 2 = Traditional 3= Did not observe
G10.	Is the <i>khola</i> (livestock shelter) functioning?		1 = Yes 0 = No
G11.	Does your household have access to a fishpond?		1 = Yes 0 = No → G13
G12.	How much fish have you harvested in the last 6 months? (<i>Specify amount and unit</i>)	_	
G13.	What is the total value of fish harvested that was shared with your household in the last 6 months?	_	
G14.	Does your household practice bee keeping?		1 = Yes 0 = No → G17
G15.	How much honey did your household produce in the last 6 months? (<i>Specify amount and unit</i>)	_	
G16.	What is the total value of honey produced in the last 6 months?	_	
G17.	How many community gardens do you have in your village?	_	

H. SHOCKS

H11. What was the primary shock experienced by your household in the last 12 months? [see H1 and H4 codes]	
H2. How has your household been mainly impacted by the shock? (see H2-H5 codes)	
H3. How would you prevent this from affecting you in the future? (see H3 codes)	
H4. What is the second main shock? (see I1 and I4 codes)	
H5. How has your household been mainly impacted by the shock? (see H5 codes)	
H6. How did you prevent these from affecting you in the future? (see H3 codes)	
H7. According to your experience how was the rain in 2023/2024 season compared to a normal year? (see codes below)	
H8. Do you know about any expected harmful effects of climate change?	

Shocks – H1 & H4	Shock Impact – H2 & H5	Shock prevention – H3	Rainfall – H7
1. Drought 2. Dry spells/erratic rainfall 3. Too much rain, floods 4. Pests (e.g. fall army worm) 5. Animal disease 6. Human disease 7. Theft 8. Fire 9. Soil erosion 10. Windstorms 11. Death of an adult household member 12. Illness or injury of household member 13. High food prices 14. High fuel/transportation costs 15. High input prices 16. Low farm gate prices 17. Disputes with community members over land, assets, or income opportunity 18. Any other major shock you can think (please specify)	1. Crop failure 2. Reduced yield 3. Loss of livestock 4. Loss of income 5. Loss of productive assets 6. Loss of non-productive assets 99. Not Applicable 97. Other (specify)	1. Nothing is done 2. Planting trees 3. Irrigation 4. Drought tolerant varieties 5. Change crop type 6. Diversification 7. Soil conservation techniques 8. Change cropping dates 9. Change land area 10. Guard fields when crops mature 11. Use Livestock drugs 12. Receive training 13. Use pesticides 14. Biological control 15. Crop insurance 16. Produce aggregation 17. Not Applicable 18. Other (specify);	1. Very bad 2. Little 3. worse than normal years 4. Same as normal years 5. Little better than normal years 6. Very good Effect of climate change – H8: 1=More frequent and intense environmental shocks 2=Disruption of natural supplies for agriculture and freshwa 3=Loss of biodiversity 4=Increased human disease 5=Increased animal diseases 6=Increased crop diseases 7=Reduced crop yields 8=Increased disaster recovery costs 9=Increased food insecurity 99=Don't know

I. Consumption Coping Strategies

I: During the last 7 days, Within the last 7 days, did your household employ any of the following strategies...? (Read out strategies)		Frequency (Number of days from 0 to 7)
Strategies	Response	How many days did you use that strategy in the last week?
I1.1 Relied on less preferred, less expensive food	1 = Yes 0 = No → I1.1b	__
I1.2 Borrowed food or relied on help from friends or relatives	1 = Yes 0 = No → I1.2b	__
I1.3 Restricted the number of meals eaten per day	1 = Yes 0 = No → I1.3b	
I1.4 Restricted portion size of meals	1 = Yes 0 = No → I1.4b	__

I1.5 Restricted quantities of food consumed by adults (so that small children can eat)	1 = Yes 0 = No → I1.5b	_
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Qn #	QUESTION	RESPONSE	CODING CATEGORY
11.6	During the past 30 days, did anyone in your household have to engage in any of the following activities to cope with a lack of food or money to buy it?		
a	Sold more non-productive animals than usual		1 = No, because I did not need to 2 = No, because I already sold those assets or have engaged in this activity within the last 12 months and cannot continue to do it 3 = Yes 4 = Not applicable (don't have access to this strategy)
b	Sold household assets/goods (radio, furniture, television, jewellery etc.)		
c	Spent savings		
d	Purchased food/non-food on credit (incur debts)		
e	Borrowed money		
f	Move children to less expensive school		
g	Reduced expenses on education		
h	Sold productive assets or means of transport (sewing machine, wheelbarrow, bicycle, car, etc.)		
i	Reduced expenses on health (including drugs)		
j	Children (under 15 years old) worked to contribute to household income (e.g. maid, casual labour)		
k	Harvest immature crops e.g. green maize		
l	Consume seed stocks that were to be used for the next season		
m	Withdrew children from school		
n	Sold house that the household was permanently living in or sold land		
o	Begged and/or scavenged (asked strangers for money/food)		

p	Engaged in socially degrading, high risk, or exploitive jobs, or life-threatening income activities (e.g., smuggling, theft, prostitution)		
q	Sold last female animals		

K: FOOD CONSUMPTION, EXPENDITURE AND FOOD INSECURITY EXPERIENCE (FIES)

I would now like to ask some questions about household food consumption and expenditure	Weekly consumption			Purchases	Not from Purchases
	I1	I2	I3	I4	I5
	In the last 7 days, did anyone in the household consume (50 %+ household members) (FOOD GROUP)?	In the last 7 days, how many days did you consume (FOOD GROUP)?	What was the main source of this food in the last 7 days? (List A)	How much did your household pay in total for (FOOD GROUP) in the last 30 days?	What was the total value of (FOOD GROUP) that your household consumed without purchase from other sources in the last 30 days?
Food Group ID (Articles as example)	1=Yes 0=No (►I4)			Kwacha	Kwacha
1. Grains/Flours • (e.g. maize/corn, rice, sorghum, bread/biscuits, other grains)	_	_	_		
2. Tubers/Roots • (e.g. cassava, sweet potato, other potato)	_	_	_		
3. Legumes/Nuts/Seed • (e.g. beans, cow peas, pigeon peas, groundnut, soya beans)	_	_	_		
4. Orange vegetables (vegetables rich in Vitamin A) • (e.g. carrot, pumpkin)	_	_	_		
5. Green leafy vegetables (e.g. pumpkin leaves, bean leaves, sweet potato leaves, cassava leaves, rape leaves, amaranthus)	_	_	_		
6. Other vegetables • (e.g. Fresh okra, cabbage, onion, tomato, cucumber, eggplant)	• _	_	_		
• 7. Orange fruits (fruits rich in vitamin A) (e.g. mango, peach, papaya)	_	_	_		

7. Other fruits (e.g. orange, tangerine, bananas, avocado, pineapple, guava, lemon, apple, pears, watermelon)	_	_	_		
• 9. Flesh meat (e.g. beef, pork, chicken, goat, guinea fowl, turkey, ducks, pigeon, doves, termites/caterpillars)	_	_	_		
• 10. Organ meat (e.g. liver, kidney, heart or other organ meat)	_	_	_		
11. Fish (e.g. fresh, dried)	_	_	_		
12. Eggs	_	_	_		
13. Milk and other dairy products (e.g. fresh and sour milk, yoghurt)	_	_	_		
14. Oils and fats (e.g. Vegetable oil, palm oil, butter, margarine, fat)	_	_	_		
15. Sugar and sweets (e.g. sugar, sugarcane, snacks / sweets, sugary / soft drinks, jam)	_	_	_		
16. Condiments, spices and drinks (e.g. salt, pepper, chilies, powdered milk for tea and sugar, maggi cube, tea/coffee, ginger, cocoa, alcoholic drinks)	_	_	_		
LIST A: 1=Purchase, 2=Own stocks/production, 3=Household business, 4=Payment in-kind, 5=Loan in-kind, 6=Barter, 7=Gifts, 8=Relief assistance, 97=Others (Specify)					

L. INCOME SOURCES

L1: How many household members earn income?		Currently (July,2025): _ _
L2: In the last 12 months, how much income did your household earn?		MWK:
L3: Was your household engaged in any of the following income generation activities during the last 12 months? 1=Yes 0=No (► next line)		How much money have you made from this source of income in the past 12 months?
L3A. Sale of crops	_ Kwacha	
L3B. Sale of animals and animal products	_ Kwacha	
L3C. Casual labour	_ Kwacha	
L3D. Self-employment	_ Kwacha	
L3E. Remittances from within the country	_ Kwacha	
L3F. Remittances from outside the country	_ Kwacha	

L3G. Any other activity (specify)	__ Kwacha	
L4. Overall: Compared with three years ago, how has your household income changed?	1= Increased 2=No change 3=Decreased	

M. CREDIT

	Question	Response	Coding Category
M1	In the last 6 months, did you or any household member receive a loan (cash or in kind)?		1=Yes 0=No
M2	Have you ever received training on terms and conditions of loans and financial management/ utilization of the loan before receiving the loan		1=Yes 0=No
M3	Why were you denied the loan?		(LIST O3)
M4	Do you have any outstanding loans		1=Yes 0=No
M6	Why (main reasons) did you or any household members take out the loan?		(LIST O6)
M7	Was the loan/s cash or in kind? (<i>Select multiple responses</i>)		1 = in Cash 2 = in Kind
M8	Where did you or any household members get the loan?		(LIST O8)
M9	What was the total amount of loans obtained by the household?		
M16	In your household, who decides what is done with the loan money?		1 = Male 2 = Female 3 = Jointly
M17	After obtaining the loan, did the loan serve the original purpose that it was taken for?		1=Yes 0=No

CODES FOR MODULE O: CREDIT ACCESS LIST M3	LIST M6		LIST M8	
1=No collateral	1=Food consumption	9=Other income-generating activities	1=Village savings & loans association	
2=Not enough income	2=Education	10=Marriage/funerals	2=Money lender/ loan shark	10=FITSE
3=Not enough savings/ shares	3=Health	11=Commerce/enterprise	3=Cooperative	11=CETZAM
4=Lack of sufficient deposit	4=Other non-food spending	12=Work on the house (e.g. repairs, improvements, etc.)	4=Family/friends	12=Another microfinance group
5=Outstanding debt	5=Debt reimbursement	13=Medical expenses	5=Bank	97=others specify
97=Other, specify	6=Purchase of agricultural inputs	14=Non-medical emergency/shock	6=Micro bankers trust (MBT)	
	7=Other agricultural spending	15=Livestock	7=Traders	
	8=Small scale businesses	97=Other, specify	8=VISIONFUND	
			9= FINCA	

N. SAVINGS

N1	N2	N3	N4	N6	N7	N8	N9	N10	N11	N12	N13
Savings	Does [Savings #] save money using a bank or microfinance institution? 1=Yes 0=No (► P5)	Who does the household member mainly save with? Use List A	Does [member ID] save money using a savings group or association? 1=Yes 0=No (► P7)	Who does the household member mainly save with? Use List B	Does [member ID] save money using an informal savings method? 1=Yes 0=No (► P9)	Who does the household member mainly save with? Use List C	Does [member ID] save money using mobile wallets e.g. Airtel Money, Mpamba? 1=Yes	What platform does he/she use? 1=Airtel money 2=Mpamba 3=Others	How often does [member ID] save? Use List D	How much did [member ID] deposit to the savings account in the last 12 months?	What is the total amount that is currently saved in this account? Kwacha

								0=No (▶ P11)			98=Don't Know	
Savings 1											Kwacha	
Savings 2												
Savings 3												
Savings 4												
Savings 5												
N14. Overall: Compared with three years ago, how has your household savings changed?							1= Increased 2=No change 3=Decreased					

Codes for Module P: Savings

List A	List B	List C	List D
1=Private bank	1=Village banks/VSL group/SILC (informal)	1=Home	1=Daily
2=Government bank	2=Savings cooperative society (formal)	2=With family or relatives	2=Weekly
3=Microfinance Institution (MFI)	3=Other savings group	3=Village or religious leader	3=Every two weeks
4=Cooperative/credit union		4=Friends	4=Monthly
5=Farmer/agricultural cooperative		5=Livestock	5=Quarterly
		6=Crops	6=Yearly
		97=Other (specify)	7=Every market day (specify)
			8=Not regularly, at random times
			9=Once
			97=Other, specify

O. ASSET BENEFIT INDICATOR

O1	Have you or any of your household members participated in the asset creation activities under the Adaptation Fund project?	Yes	No
O2	Do you think that the assets that were built or rehabilitated in your community are better protecting your household, its belongings and its production capacities (fields, equipment, etc.) from floods / drought / landslides / mudslides? e.g., stone bunds, gully reclamation/check dams, deep trenches, swales, marker ridges	Yes	No

O3	Do you think that the assets that were built or rehabilitated in your community have allowed your household to increase or diversify its production (agriculture / livestock / other)?	Yes	No
O4	Do you think that the assets that were built or rehabilitated in your community have decreased the day-to-day hardship and released time for any of your family members (including women and children)? i.e. gully reclamation	Yes	No
O5	Do you think that the assets that were built or rehabilitated in your community have improved the ability of any of your household member to access markets and/or basic services (water, sanitation, health, education, etc)?	Yes	No
O6	Do you think that the trainings and other support provided in your community have improved your household's ability to manage and maintain assets?	Yes	No
O7	Do you think that the assets that were built or rehabilitated in your community have improved your natural environment (for example more vegetal cover, water table increased, less erosion, etc.)?	Yes	No
Coding: Yes = positive response; No = negative response			

P. RISK REDUCTION STRATEGIES

P1. In 2023/2024 season, did you or anyone in your household use any risk reduction strategies? (<i>Enumerators remember to mention them as outlined below</i>)		1=Yes 0=No → Next activity	P2. Do you have access to assets created by each of the activities?
If yes, please list all the activities that <u>you worked on/ participated in</u> :		1=Yes 0=No	
Food Assistance for Assets Creation (FFA) activity			
Afforestation	Tree nursery establishment	_	
	Tree planting	_	
	Natural forest regeneration	_	
Soil and water conservation	Rainwater harvesting	_	
	Pegging and construction of marker/contour ridges	_	
	Reclamation of gullies	_	
	Vetiver nurseries	_	
	Hedgerow planting	_	
Soil fertility	Compost/ <i>khola</i> making	_	
	Compost manure application	_	
Fisheries	Fishpond construction	_	
	Rehabilitation of existing fishponds	_	
	Fishpond management training	_	
Agribusiness	Beekeeping	_	
	Feed conservation	_	

Production of goats and goat products	Goat <i>khola</i> construction	<input type="checkbox"/>	
Production of poultry and poultry products	Distribution of chickens	<input type="checkbox"/>	
Land Resources/Crops	Demonstration plots	<input type="checkbox"/>	
	Planting of fruit trees	<input type="checkbox"/>	
	Field days	<input type="checkbox"/>	
	Degraded areas rehabilitated	<input type="checkbox"/>	
	Trees planted (agroforestry trees)	<input type="checkbox"/>	
	Nursery establishment (agroforestry trees)	<input type="checkbox"/>	
Irrigation	Upgrading of drainage systems	<input type="checkbox"/>	
	Upgrading of canals	<input type="checkbox"/>	
	Micro scheme establishment	<input type="checkbox"/>	
Wat San	San plat facilities	<input type="checkbox"/>	
	San plat training	<input type="checkbox"/>	
	Shallow wells protection	<input type="checkbox"/>	
	Borehole rehabilitation	<input type="checkbox"/>	
Environment and energy	Energy saving stoves	<input type="checkbox"/>	
	Demonstration energy saving stoves	<input type="checkbox"/>	
	Waste management demonstration	<input type="checkbox"/>	
Food security & nutrition	Dietary diversity	<input type="checkbox"/>	
	Food budgeting	<input type="checkbox"/>	
	Food processing, utilization and preservation	<input type="checkbox"/>	
P3. Please list the benefits you have found as a result of access to this asset(s)	Increased harvest	<input type="checkbox"/>	
	More crops for sale	<input type="checkbox"/>	
	Increased income	<input type="checkbox"/>	
	Improvement in health	<input type="checkbox"/>	
	Improved nutrition	<input type="checkbox"/>	
	Improvement in soil fertility (moisture retention)	<input type="checkbox"/>	
	Use of trees for construction and/ or fuel wood	<input type="checkbox"/>	
	Other	<input type="checkbox"/>	

Q. MARKET INFORMATION

Q1	In 2024/2025 season, did you get any market information about where you could sell your surplus produce?	1 = Yes 2 = No 99. NA
Q2	Who gave you this information?	1 = Government of Malawi Extension Worker, 2 = NGO, 3 = Lead farmer, 97 = Other
Q3	Was the information given timely?	1 = Yes 2 = No 99. NA
Q4	Based on the market advise received did you make livelihood related decisions?	1 = Yes 2 = No 99. NA
Q5	Do you have access to functional and formal Markets to sell your surplus produce?	1 = Yes 2 = No

R. LINKAGES WITH OTHER PROGRAMME/RESILIENCE ACTIVITIES

Now I will ask you about other resilience activities you may be participating in	
R1. Do any of the primary school-going children participate in a School Meals Programme?	__
R2. In your own opinion, who provides food for the children at this school?	__
R3. Are any of the children under 12 years/PLW enrolled in the Supplementary Feeding Programme in the nearest health centre?	__
R3b. Are any of the adolescents/adults enrolled in the Nutritional Care and Support Treatment (NCST) in the nearest health centre?	__
R4. Is your household a member of any farmer organization?	__
R4b. If Yes, is the farmer organization supported under: Codes: 1=Government programme? 2=WFP programme? 3=NGOs? 97=Other (Please specify)	__
R4c. Is the farmer organization located within the same:	1=GVH? 2=T/A? 3=EPA? 4=District?
R4d. Specify the name and type of the farmer organization:	1=Farmer group 2=Association 3= Cooperative 97=Other (Please specify)
R5. Was your household one of the beneficiary households during the 2023/2024 MVAC response?	__
R5a. Is your household participating in Social Cash Transfer Programme (SCTP)	__
R5b. Is your household participating in Farm Input Subsidy Programme (AIP)	__

R5c. Is your household participating in Public Works Programme (PWP)	__
R5d. Is your household participating in WFP resilience programmes (FFA, R4)	__
R5e. Is your household participating in Nutrition Care Groups	__
R6a. If your household had a problem and needed help urgently (e.g., food, money, labour, transport, etc.), who IN THIS COMMUNITY could you turn to for help? [MULTIPLE RESPONSE]	0=None 1=Relatives 2=Non-relatives
R6b. Who INSIDE THIS COMMUNITY would you help if they needed help urgently (e.g., food, money, labour, transport, etc.)? [MULTIPLE RESPONSE]	0=None 1=Relatives 2=Non-relatives
[SKIP if R6a and R6b both answer is "None"] R6c. Compared to three years ago, has your ability to get help from anyone within your community increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know
R7a. If your household had a problem and needed help urgently (e.g., food, money, labour, transport, etc.), who OUTSIDE YOUR COMMUNITY could you turn to for help? [MULTIPLE RESPONSE]	0=None 1=Relatives 2=Non-relatives
R7b. Who OUTSIDE YOUR COMMUNITY would you help if they needed help urgently (e.g., food, money, labour, transport, etc.)? [MULTIPLE RESPONSE]	0=None 1=Relatives 2=Non-relatives
[SKIP if R7a and R7b both answer is "None"] R7c. Compared to three years ago, has your ability to get help from anyone outside your community increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know
R8a. Does anyone in your household know an agricultural extension worker (government/private/NGO)?	1=Yes 2=No → R9a
R8b. Can your household get agricultural assistance from this agricultural extension worker if needed?	1=Yes 2=No
R8c. Compared to three years ago, has your ability to get help from an agricultural extension worker increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know
R9a. Does anyone in your household know a livestock extension worker (government/private/NGO)?	1=Yes 2=No → R10a
R9b. Can your household get livestock assistance from this livestock extension worker if needed?	1=Yes 2=No

R9c. Compared to three years ago, has your ability to get help from a livestock extension worker increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know
R10a. Does anyone in your household know a Government official?	1=Yes 2=No → R11a
R10b. Can your household get assistance from the Government officials if needed?	1=Yes 2=No
R10c. Compared to three years ago, has your ability to get help from a Government official increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know
R11a. Does anyone in your household know an NGO official?	1=Yes 2=No → R12a
R11b. Can your household get assistance from the NGO official if needed?	1=Yes 2=No
R11c. Compared to three years ago, has your ability to get help from an NGO official increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know
R12a. Does anyone in your household know a community leader or elected official?	1=Yes 2=No → R12a
R12b. Can your household get assistance from the community leader or elected official if needed?	1=Yes 2=No
R12c. Compared to three years ago, has your ability to get help from a community leader or elected official increased, decreased, or stayed the same?	1=Increased 2=Stayed the same 3=Decreased 99=Don't know

S. CLIMATE SERVICES SCORE

Qn #	Question	RESPONSE	Coding Category	Skip to
S1	Have you or any member of your household accessed climate information over the past 12 months (short-term early warning and/or weather/seasonal forecasts)?		1 = Yes 0=No	
S2	What was the Channel? (<i>select all that apply</i>)		1 = Phone 2 = Radio	

			3 = Extension worker/officer/service	
S3	Is this information tailored/adapted to your household needs?		1 = Yes 0=No	
S4	is this information delivered timely (giving your household enough time to use it before the event occur)?		1 = Yes 0=No	
S5	Can you easily understand this information?		1 = Yes 0=No	
S6	Have you or any member of your household used this information to protect/adapt your livelihood from/to climatic shocks and stressors affecting agricultural production over the past 12 months?		1 = Yes 0=No	
S7	What agricultural/livelihood decisions did you make?		1 = Yes 0=No	

T. INSURANCE AND CLIMATE SERVICES FOR FARMERS

Qn #	Question	RESPONSE	Coding Category	Skip to
T1	In 2023/2024 season, did you have access to climate information?		1=Yes 0=No	P3
T2	If yes to Q1, where did you hear this from?		(LIST A)	
Forecast of extreme weather events (e.g.: heavy rains, storm, dry spell, strong winds, other)				
T3	In the 2023/2024 season, was your household affected by any extreme weather event (dry spell, flash floods, strong winds...)?		1=Yes 0=No	
	Extreme event: dry spell			
T4	In the 2023/2024 season, did your household experience any DRY SPELL?		1=Yes 0=No	
T5	The effect of the DRY SPELL on your household economy was:		1= Little 2=Some 3=Substantial	
T6	Did you receive weather forecast before DRY SPELL affected the area you live in? (1=yes, 0=no)		1=Yes 0=No	
T7	What was the source from where you received the forecast? (multiple choice)		(LIST B)	
T8	How much time ahead of the event happened did you receive the information? (single choices C)		LIST C	
T9	Did information come with advice on how to use the information in your livelihood (main activity providing household income)?		1=Yes 0=No	

Qn #	Question	RESPONSE	Coding Category	Skip to
T10	Did you or someone in your household use the advice provided?		1=Yes 0=No	
T11	If no, why? (multiple choice D)			
T12	What specific change did you or someone from your household make on your livelihood practices after receiving the information? (multiple choice E)			
T13	Who applied these pieces of advice on your livelihood practices?		1= Male 2=Female 3= Both	
T14	Which specific impacts have you noticed following this change of practice? (multiple choice F)		LIST F	
	Extreme event: heavy rains/flash floods			
T15	In the last 12 months, did your household experience any HEAVY RAINS/FLASH FLOODS? (Refer to: Cyclone Freddy)		1=Yes 0=No	
T16	The effect of the HEAVY RAINS/FLASH FLOODS on your household economy was: (1= Little 2=Some 3=Substantial)		1= Little 2=Some 3=Substantial	
T17	Did you receive weather forecast before HEAVY RAINS/FLASH FLOODS affected the area you live in?		1=Yes 0=No	
T18	What was the source from where you received the forecast on HEAVY RAINS/FLASH FLOODS (multiple choice)		LIST A	
T19	How much time ahead of the event happened did you receive the information on HEAVY RAINS/FLASH FLOODS? (single choices C)		LIST C	
T20	Did information come with advice on how to use the information in your livelihood (main activity providing household income)? (01=Yes, 0=No)		1=Yes 0=No	
T21	19. Did you use the advice provided? (1=yes; 0=no)		1=Yes 0=No	
T22	If no, why? (multiple choice D)		LIST D	
T23	What specific change did you make on your livelihood practices after receiving the information? (multiple choice E)		LIST E	
T24	Who applied these advices on your livelihood practices?		1=Male 2=Female 3=Both	
T25	Which specific impacts have you noticed following this change of practice? (multiple choice F)		LIST F	
T26	Extreme event: strong winds/tornados			
	In the last 12 months, did your household experience any STRONG WINDS/TORNADOS? (1=Yes, 0=No)		1=Yes	

Qn #	Question	RESPONSE	Coding Category	Skip to
			0=No	
T28	The effect of the STRONG WINDS/TORNADOS on your household economy was: (1= Little 2=Some 3=Substantial)		1= Little 2=Some 3=Substantial	
T27	Did you receive weather forecast STRONG WINDS/TORNADOS affected the area you live in?		1=Yes 0=No	
T28	What was the source from where you received the forecast on STRONG WINDS/TORNADOS (multiple choice A)		LIST A	
T29	How much time ahead of the event happened did you receive the information on STRONG WINDS/TORNADOS? (single choices C)		LIST C	
T30	Did information come with advice on how to use the information in your livelihood (main activity providing household income)?		1=Yes 0=No	
T30	Did you use the advice provided? (1=yes; 0=no)		1=Yes 0=No	
T31	If no, why? (multiple choice D)		LIST D	
T32	What specific change did you make on your livelihood practices after receiving the information? (multiple choice E)		LIST A	
T33	Who applied these pieces of advice on your livelihood practices?		1= Little 2=Some 3=Substantial	
T34	Which specific impacts have you noticed following this change of practice? (multiple choice F)		LIST F	
	SEASONAL FORECAST OF THE AGRICULTURAL CAMPAIGN (E.G: START AND END DATES OF THE RAINS, FORECAST OF RAINS FOR THE FOLLOWING 2-6 MONTHS)			
T35	During the 2023/2024 season, did you ever receive climatic information on the seasonal forecast/ agricultural season outlook for the area you live in (e.g.: start and end dates of the rains, forecast of rains for the following 2-3 months)-1=Yes, 0=No		1=Yes 0=No	
T36	What are the sources from where you received climatic information on the forecast of the agricultural campaign? (multiple choices B)		LIST B	
T37	How much time ahead of the agricultural season did you receive the information? (single choices C)		LIST C	
T38	Are you currently satisfied with the climate related information you receive to inform your livelihood (crop and livestock) decisions? (01=yes; 0=No)		1=Yes 0=No	
	INSURANCE SERVICES FOR FARMERS			
T39	Are you aware of about crop insurance as a risk transfer mechanism?		1=Yes	

Qn #	Question	RESPONSE	Coding Category	Skip to
			0=No	
T40	If yes, where did you hear it LIST A		LIST A	
T41	Are you currently participating in Insurance for Asset creation activities as a risk transfer mechanism? (Or refer to (2023/24) season		1=Yes 0=No	Go to Next Module
T42	If yes, are you currently participating in insurance independently or dependently?		1=Independently 2= Dependently	
T43	If dependently how much premiums are you paying now		1=20% 2=50% 3=100%	
T44	Will you be able to independently pay premiums by the end of the project?		1=Yes 0=No 99= Not sure	
T45	Have you ever benefitted from the payouts since you joined the insurance services?		1=Yes 0=No	
T46	If so, how much have you benefited in the following seasons?		1= 2019/2020 2= 2020/2021 3= 2021/2022 4= 2022/2023 5= 2023/2024	
T47	Do you know that the insurance scheme might not make payouts every year?		1=Yes 0=No	

LIST A

1= Government extension worker 4= Village radio hub/association 7=Local leaders 10=Newspaper
2=NGO Extension worker 5=Lead Farmers 8=Religious leaders 97=Other (specify)
3=Radio 6= Mobile phone 9=Friends

Codes for Module T

Choices A 01=daily; 02=weekly; 03=monthly; 04=seasonally

Choices B 01-Radio; 02-Television; 03-Government agricultural/veterinary extension; 04-NGO project officers; 05-Friends; 06-Relatives; 07-Neighbours; 08-Meteorological office; 09-Teachers in local schools; 10-Newspaper; 11-Traditional forecast/indigenous knowledge; 12-Your own observation; 13-Local farmer group/cooperative; 14-Local village meetings; 15-Local social events; 16-Religious organization; 17-Mobile phone (SMS); 18-Internet; 19-Other (explain below).

Choices C: 01= months, 02= weeks, 03= days, 04= a few hours

Choices D: 1=yes; 2= no because the information came too late; 3= no because I do not understand the advice provided; 4= no because I do not trust the source; 4= no, because the advice was not actionable as too expensive; 5= other

Choices E: 00-None; 01-reduce area of land cultivated; 02- increase the area of land cultivated; 03- adapt/change field location; 04- adapt/change crop type; 05-adapt/change crop variety; 06-adopt/improve soil conservation techniques; 07- adopt/improve water conservation techniques; 08-change planting regiment (intercropping, mono-cropping); 09-change grazing areas; 10-store fodder/hay; 11-increase animal water storage ; 12-vaccinate/ preventive treatment animals; 13- migrate from the area; 13-other (please specify).

Choices F: 00-None; 01-improved yields; 02-less pest damage; 03-less crop losses; 04-less animal losses; 05-soil health improvement; 06-other (specify)

U. DECISION MAKING

U1	Who in your household makes decisions on how food is utilized in your household (both-own produced and outsourced)?	1 = Men 2 = Women 3 = Both 99= NA
U2	Who in your household makes decisions on how cash is utilized?	1 = Men 2 = Women 3 = Both 99= NA
U3	Who in your household makes decisions on how household assets are utilized?	1 = Men 2 = Women 3 = Both 99= NA
U5	Who in your household makes decision on use/ access to climate services information?	1 = Men 2 = Women 3 = Both 99= NA
U6	Who in your household makes decisions on access and use of market information?	1 = Men 2 = Women 3 = Both 99= NA
U7	Who in your household makes decisions on adoption of improved agricultural practices?	1 = Men 2 = Women 3 = Both 99= NA
U8	Who in your household makes decisions on access and use of insurance information and services?	1 = Men 2 = Women 3 = Both 99= NA

Annex 15. Qualitative data collection tools

These topical outlines/ interview guides are intended as a menu of possible topics and not all questions in each section will be asked to each respondent. Questions are posed to individual respondents according to their knowledge of and involvement with the project, the topics most relevant to their position, the time available for the interview, and other factors.

KII: WFP Malawi Country Office

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Can you briefly describe your role within WFP Malawi and your involvement in the Adaptation Fund project?
2. How did your team engage in the planning and implementation of the AF project?
3. What were the key areas of focus for WFP Malawi in this project?

Climate Resilient Agriculture

1. From a country office perspective, how did the project contribute to climate-resilient agriculture in Malawi?
 - a. How did the AF project align with national agriculture priorities and strategies?
 - b. Were there technical models or practices that WFP promoted or adapted based on feedback or monitoring? How were they promoted?
 - c. How did WFP's engagement help localize or scale sustainable land and water management approaches?

Access to Microinsurance

1. How were the design and delivery of the insurance component supported by WFP Malawi?
 - a. What systems or partnerships were needed to ensure operational delivery of this component?
 - b. Were there efforts to build understanding or trust in the insurance mechanism among communities? If so, how was trust built?
 - c. What were some lessons around scalability or linking to national social protection systems?

Access to Market Opportunities

1. In what ways did the project support smallholder integration into markets and value chains?
 - a. What interventions were most effective in promoting income diversification?
 - b. How did WFP coordinate with private actors, input suppliers, or financial institutions to support these efforts?
 - c. What role did WFP play in training or supporting producer organizations?

Access to Climate Services

1. How did WFP support the delivery of climate or weather information (e.g. PICSA, radio, SMS)?
 - a. What role did partnerships play?
 - b. How was the content tailored for farmers?
 - c. To what extent did WFP track whether farmers used this information for planning (e.g. planting, input use)?
2. Were there any key lessons on how farmers used this information in decision-making?

Access to Financial Services

1. How did WFP promote access to savings, loans, or grants?
 - a. What strategies were used to engage financial institutions?
 - b. Did the project include financial literacy support, especially for women or youth?
 - c. How were grant recipients selected and supported?
2. What role did WFP play in supporting financial literacy or building relationships with financial institutions?

Social Inclusion / AAP / Gender

1. How did the project promote inclusion, gender equity, and accountability to affected populations?
 - a. What processes or systems were in place for collecting and responding to community feedback?
 - b. How were protection or safety concerns addressed during project delivery?
 - c. In what ways did WFP integrate gender-transformative approaches across activities?
2. What approaches did your team use to include women, youth, and marginalized groups in project planning and implementation? To what extent were people with disabilities included in project planning and implementation?

General reflection

1. How did the project strengthen WFP's systems or support Malawi's capacity for potential accreditation?
2. What mechanisms or tools introduced by the project are likely to be sustained?
3. Have any tools, partnerships, or internal practices developed under the AF project been adopted across other WFP programming in Malawi?

KII: WFP Malawi field offices (Zomba, Machinga, Balaka)

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Can you describe your role and how your office supported AF project implementation?
2. What activities were prioritized in your area and how were they rolled out?

Climate Resilient Agriculture

1. What role did your office play in planning, delivering, or monitoring agricultural resilience interventions?
 - a. How were community-level priorities identified and translated into specific activities?
 - b. How did you ensure that assets or services were adapted to local environmental conditions?

- c. What signs of improved resilience—such as land productivity or household food access—have you observed?

Access to Microinsurance

1. What was your experience supporting implementation of the insurance component?
 - a. What processes were used to engage eligible households and explain the insurance?
 - b. Were there community misunderstandings or hesitations, and how were these addressed?
 - c. What feedback did you receive post-payments, and how did this inform learning?

Access to Market Opportunities

1. What market-oriented interventions were implemented and how effective were they?
 - a. Were farmers linked to buyers, cooperatives, or new value chains?
 - b. How did storage or post-harvest technologies affect behaviour or income generation?
 - c. What support or partnerships helped improve farmer access to inputs or pricing information?

Access to Climate Services

1. What climate information did you help deliver to communities (e.g. PICSA tools, radio messages)?
 - a. How often was it shared, and through what channels?
 - b. Did farmers find the forecasts timely and useful for planning?
 - c. What feedback did you hear from beneficiaries? How was this feedback used?

Access to Financial Services

1. What financial services were promoted in your area (e.g. savings groups, credit, grants)?
 - a. How were beneficiaries selected?
 - b. Were women, youth, or marginalized groups included?
 - c. Did farmers use the support to invest in agriculture?
2. What worked well and what didn't?

Social Inclusion / AAP / Gender

1. How did the AF project promote equity and inclusion at the field office level?
 - a. What specific efforts were made to include vulnerable or marginalized populations?
 - b. Were there feedback mechanisms in place, and how responsive were they?
 - c. Did you observe any barriers to participation for women, youth, or people with disabilities?
2. What approaches did your team use to include women, youth, and marginalized groups in project planning and implementation? To what extent were people with disabilities included in project planning and implementation?
3. Were there any challenges that made it difficult for some people—such as women, youth, or persons with disabilities—to participate fully in project activities? What could have been done differently to make participation more inclusive for everyone in your community?

General reflection

1. What were the most significant operational or logistical challenges encountered during implementation?
2. What practices—such as internal coordination, field-level procurement, or community engagement—were strengthened within your office due to this project?
3. How did this project influence how your office approaches resilience programming overall?

KII: WFP Regional Bureau and HQ

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Can you describe your role and core responsibilities within the Regional Bureau or HQ as they relate to climate adaptation programming?
2. To what extent did the Regional Bureau or HQ engage in cross-country learning, backstopping, or knowledge sharing in this project?

Climate Resilient Agriculture

1. From your regional perspective, how did the project contribute to advancing climate-resilient agriculture?
 - a. Did the approach used in Malawi reflect WFP's evolving technical guidance or strategies?
 - b. What technical models or practices did WFP promote or adapt based on feedback or monitoring, and how were these adjustments made in practice?
 - c. How did WFP's engagement help localize or scale sustainable land and water management approaches?

Access to Microinsurance

1. How did the insurance component align with WFP's broader approach to financial risk transfer?
 - a. What technical support or capacity building was provided to the Malawi office?
 - b. Were there insights that have influenced WFP's global position or programming on insurance?
 - c. How did WFP support efforts to build understanding or trust in the insurance mechanism among communities, and what approaches proved most effective?

Access to Market Opportunities

1. What added value did this project contribute to WFP's resilience strategy through market engagement?
 - a. What interventions were most effective in promoting income diversification?
 - b. Were there regional or HQ partnerships involved in enhancing market access outcomes?
 - c. How did this experience shape WFP's thinking around market inclusion in resilience programming?

Access to Climate Services

1. How did the Regional Bureau or HQ support the development or contextualization of climate services in Malawi (e.g., PICSA, forecast delivery)?
2. What guidance or technical inputs were provided to ensure accuracy, relevance, or alignment with resilience strategies?
3. Was support provided to monitor uptake and application of the information by smallholder farmers?

Access to Financial Services

1. What guidance or tools did the Regional Bureau or HQ provide to support farmer access to savings, grants, or credit?
 - a. Was there alignment with broader resilience strategies?
 - b. How was financial inclusion, particularly for women and youth, integrated into WFP Malawi's programming?
2. Was support provided to ensure targeting, sustainability, or scale of these services? Explain.

Social Inclusion / AAP / Gender

1. How did the project inform or reinforce WFP's institutional commitments to social inclusion?
 - a. Were gender and accountability frameworks adapted or validated through this work? How?
 - b. Were policies and frameworks related to disability inclusion adapted or validated through this work? How?
 - c. What new strategies emerged that could inform future guidance?

General reflection

1. How did the project enhance WFP's institutional capacities at regional/HQ level in areas such as partnership development, technical leadership, or knowledge management?
2. What role might WFP HQ or RB play in supporting Malawi or similar countries to achieve future accreditation or implementation readiness?
3. To what extent do you believe the project achieved its intended results? What factors influenced success or created bottlenecks?
4. What broader trends or shifts has this project highlighted that WFP should respond to globally?

KII: National Government

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Can you describe your role and responsibilities in relation to the Adaptation Fund project?
2. What has been your interaction with the program? What activities have you been involved in?

Climate Resilient Agriculture

1. From a national perspective, how did the project contribute to climate-resilient agriculture in line with national plans and targets?
 - a. Was your ministry or technical team involved in setting priorities for agriculture or land-based adaptation activities?
 - b. How did the project contribute to promoting climate-smart agriculture or landscape management nationally?
 - c. Did any models or practices show potential for integration into government systems or scaling through national programs?

Access to Microinsurance

1. How well has the insurance component performed and does the index-based insurance inform national thinking or systems related to social protection and disaster risk management?
 - a. What reasons do you have to support your view on performance and what improvements could be made for future components/projects?
 - b. What lessons have emerged regarding financial risk transfer for vulnerable households through this approach?
 - c. How might the insurance approach inform or align with other government initiatives (e.g., social safety nets, DRM frameworks)?

Access to Market Opportunities

1. How did the project support smallholder integration into markets, and what were the implications for national agricultural or private sector development efforts?
 - a. Were there any partnerships or national systems engaged to improve market linkages for rural producers, which were most effective and why was that?
 - b. Did the project align with national market access strategies or offer innovations that could be scaled?
 - c. What role could national institutions play in sustaining these interventions after the project ends?

Access to Climate Services

1. What was the role of national government institutions in supporting or delivering climate information services under the project?
2. Were PICSA, forecasts, or early warning messages integrated into public extension systems?
3. How was government capacity built or leveraged, and did you observe changes in farmer behaviour?

Access to Financial Services

1. Did the project's financial inclusion interventions align with national policies (e.g. on rural finance or cooperative development)? How so?
2. What role did government agencies play in grant design, financial literacy, or VSLA development?
3. Were there any systems set up for long-term sustainability or linkages to formal financial institutions? How well did this work?

Social Inclusion / AAP / Gender

1. How did the project address equity and inclusion from a national policy and institutional lens, and how was community feedback used for accountability and learning?
 - a. Were national institutions engaged in guiding this work?
 - b. What policies or tools guided the inclusion of vulnerable populations?
 - c. Were there mechanisms in place for community feedback to inform adaptive management? How were these linked to national standards or systems?
2. What approaches did your team use to include women, youth, and marginalized groups in project planning and implementation? To what extent were people with disabilities included in project planning and implementation?

General reflection

1. How has the project improved national capacity to respond to climate variability and risks among vulnerable populations?
2. How did the project align with national adaptation and resilience strategies or your ministry's priorities?
3. What national mechanisms are in place to sustain climate and ecosystem services built through the project?
4. What policy or strategic lessons has your ministry drawn from this project? How might these influence long-term shifts in government strategy, regulation, or budgeting toward climate adaptation?

KII: District and sub-district Government

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Can you describe your role and responsibilities in relation to the Adaptation Fund project?
2. What changes or improvements relating to climate adaptation have you observed in your district or area since the programme began?

Climate Resilient Agriculture

1. What role did your office play in promoting climate-resilient agricultural interventions, and how have these aligned with local development plans?
 - a. How were priorities for asset creation or agricultural interventions decided at community level?
 - b. What coordination occurred between district/sub-district structures and project teams during planning and supervision of these assets?
 - c. How has the project contributed to climate adaptation in agriculture in your area? Are results being seen at household or landscape level?
 - d. How are these assets or practices being maintained or institutionalized locally?

Access to Microinsurance

1. What role did your office have in supporting or overseeing the roll-out of index-based insurance, and how beneficial has it been to the communities?
 - a. How were participants mobilized? What worked well or needed improvement?
 - b. How was information about eligibility, enrolment, and payout conditions communicated to communities? How well was this delivered?
 - c. How did insurance improve household resilience in your area?

Access to Market Opportunities

1. What observations or insights do you have about market access or income-generating aspects of the project in your district?
 - a. Were there any project activities that linked farmers to markets or improved access to inputs? What role did your office play in facilitating this?
 - b. What kind of coordination occurred with private sector actors or government agricultural extension services?
 - c. Which of the market-related activities are more likely to continue beyond the project? What is required to sustain them?

Access to Climate Services

1. What was your involvement in helping farmers access or understand seasonal forecasts and weather information?
 - a. Did you or your teams support PICSA delivery, radio dissemination, or related awareness-raising?
2. Were farmers able to use the information for decision-making?
3. What were the challenges or successes in promoting its application?

Access to Financial Services

1. Were you involved in mobilizing or overseeing access to financial services such as savings groups, grants, or loans?
 - a. What role did you play in selecting participants or building capacity?
2. How inclusive was this support across genders and vulnerable groups?
3. What lessons did you draw from local implementation?

Social Inclusion / AAP / Gender

1. How did the project promote equity and inclusion across communities, and how were community voices integrated in delivery and oversight?
 - a. How were vulnerable groups (e.g., women, youth, people with disabilities) involved during project planning or implementation?
 - b. Did your office receive or respond to any complaints, grievances, or requests for support from community members? How were these handled?
 - c. Were there mechanisms in place for communities to participate in oversight or monitoring (e.g., committees, feedback loops)?
 - d. What could be improved in terms of local engagement, transparency, or responsiveness for future projects?
2. Were there any challenges that made it difficult for some people—such as women, youth, or persons with disabilities—to participate fully in project activities? What could have been done differently to make participation more inclusive for everyone in your community?

General reflection

1. What lessons have been learned from this project at the district/sub-district level? What would you recommend for future projects of this kind?
2. Did the project face resource-related or social conflicts, and how were they handled locally?
3. What systems or practices introduced by the project are worth sustaining or scaling?

KII: Local partners

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Please describe your organization's role in the project and the communities you supported.
2. What types of activities were you responsible for implementing? What worked well and what was challenging?
3. How were your organization's local knowledge or practices incorporated into the project?

Climate Resilient Agriculture

1. How did your organization support the implementation of climate-resilient agriculture, and what changes were observed at the community level?
 - a. What kinds of assets or agricultural services did your team help deliver?
 - b. How were communities involved in identifying priorities for land or water-related works?
 - c. What outcomes have you observed in terms of improved food security, land use, or disaster preparedness?
 - d. How were technical quality and community ownership maintained throughout implementation?

Access to Microinsurance

1. What was your organization's role in sensitizing and enrolling communities in the insurance scheme, and how did this affect outcomes?
 - a. How did you explain insurance processes to community members? What challenges came up?
 - b. Did your organization support targeting, registration, or payout distribution?
 - c. What benefits did beneficiaries report after receiving payouts or participating in this component and how could these be increased?
 - d. How could outreach or understanding of the insurance component be improved in the future?

Access to Market Opportunities

1. In what ways did your organization contribute to improving access to inputs, services, or markets for rural producers?
 - a. How did your organization support producer groups, training on value chains, or linkages to buyers or traders?

Were any income-generating activities promoted alongside agriculture or asset creation? 2. What is needed to ensure these gains can be maintained after the project ends?

Access to Climate Services

1. What role did your organization play in delivering or facilitating access to climate services (e.g., PICSA sessions, SMS alerts, radio)? How was this information tailored to community needs?
2. What methods worked well or poorly in ensuring that farmers understood and applied the information in real decisions?

Access to Financial Services

1. Did your organization support delivery of grants, VSLA formation, or linkages to credit institutions?
2. How were beneficiaries identified, and what support was provided?
3. What barriers did you face—especially in supporting women, youth, or persons with disabilities—and how did you address them?

Social Inclusion / AAP / Gender

1. How did your organization promote participation, inclusion, and safe feedback for all groups in the communities you served?
 - a. What approaches did your team use to include women, youth, and marginalized groups in project planning and implementation?
 - b. Were there accountability mechanisms (e.g. complaints systems, community monitoring) in place? What role did your team play?
 - c. How did your organization adapt project delivery based on what you heard from communities?
2. What approaches did the project use to include women, youth, and marginalized groups in project planning and implementation? To what extent were people with disabilities included in project planning and implementation?
3. Were there any challenges that made it difficult for some people—such as women, youth, or persons with disabilities—to participate fully in project activities? What could have been done differently to make participation more inclusive for everyone in your community?

General reflection

1. What practical lessons did your organization learn about delivering climate resilience programming at the community level?
2. How were environmental or social risks monitored and addressed during implementation?
3. What would you recommend improving future partnerships between national actors, local organizations, and donors?

KII: Donor (Adaptation Fund)

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 45-60 minutes. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction

1. Can you describe your role and responsibilities in relation to the Malawi Adaptation Fund project?
2. What expectations did the Fund have for this project in Malawi, and to what extent have these been fulfilled?
3. What have been key challenges or highlights from your perspective as a donor?

Climate Resilient Agriculture

1. How did this project contribute to climate-resilient agriculture in line with Fund strategies and country adaptation needs?

- a. How well did the agricultural resilience objectives align with Adaptation Fund priorities?
- b. What are some good practices or innovations identified in this domain that could inform other investments?

Access to Microinsurance

1. What role does the insurance component play in advancing Fund-level goals on resilience and risk management?
 - a. How did this project contribute to the Fund's broader understanding of financial risk transfer or resilience programming?
 - b. Are there elements of this insurance work that should be replicated or improved in future projects?

Access to Market Opportunities

1. How did this project contribute to strengthening market engagement or livelihoods for climate-vulnerable communities?
 - a. What were the Fund's expectations regarding income diversification and value chain integration in this project?
 - b. What aspects of the market-oriented approaches could be scaled or strengthened in future country proposals?

Access to Climate Services

1. How did the Adaptation Fund assess the relevance and effectiveness of climate services (e.g. PICSA, seasonal forecasts) delivered under the project?
2. To what extent was uptake by smallholders monitored or reported?
3. Were there specific expectations or innovations the Fund sought to promote in climate information systems?

Access to Financial Services

1. What were the Fund's expectations for financial services under the project (e.g., use of grants, credit, or savings schemes)?
2. How did the Fund assess targeting, risk, and inclusion?
3. Were there any lessons or concerns around scalability or alignment with national rural finance systems?

Social Inclusion / AAP / Gender

1. How did the project demonstrate responsiveness to Fund safeguards and equity commitments?
 - a. How did the implementing partners address social inclusion, gender equity, and participation of vulnerable populations (e.g., people with disabilities)?
 - b. What systems were in place to promote accountability to affected populations (e.g., feedback mechanisms, transparency tools)?
 - c. How did the Fund monitor or support adjustments based on community input or adaptive learning?

General reflection

1. What are the most strategic lessons from this project that could inform the Adaptation Fund's programming or policies?
2. How were safeguards, sustainability strategies, and exit plans defined and tracked by the implementing partner?
3. In what ways could donor-implementer collaboration be strengthened in similar future projects?

FGD: Community-level project participants

NOTE: What follows is not a list of formal interview questions, but an outline to guide semi-structured small group and individual discussions. Please use the topics and subtopics to guide the discussion. The questions for the sub-topics are provided as examples only. Not all topics will be relevant to all respondents, so the interviews should focus on topics that respondents are most knowledgeable about and for which they have an informed opinion. Explain that the discussion will take 1 – 1 ½ hours. Secure informed consent.

Directions for Interviewer:

The purpose of the questions is to understand the current conditions and perceptions relating to the project objectives. The questions are to be asked separately to men's focus groups and women's focus groups. Not all questions will be asked of each group, but interviewers should be as consistent as possible in asking the same questions of different groups.

Introduction:

1. Who we are; why we are here; how long the process will take; how the information will be used by Adaptation Fund, WFP.
2. Participation is voluntary and confidential.
 - a. You can leave at any time.
 - b. You do not have to answer any questions that you do not want to
 - c. Your responses are confidential, and we will not identify you.
3. Obtain verbal consent to interview.

Verbal consent information:

Hello!

My name is [Interviewer name], and I work with Tango International. We are working with the World Food Programme (WFP) to evaluate the Malawi Adaptation Fund Project. This project supports community resilience by promoting climate-resilient agriculture, index-based insurance, and improved market access, with a strong focus on food security, inclusion, and accountability.

We are inviting you to participate in this discussion because your experiences and insights as a participant in the program are very important. We will ask you questions about your experience with the project—what worked well, what was challenging, and what changes you may have seen. There are no right or wrong answers—we are interested in your honest opinions.

The answers that you provide are confidential and will only be used to evaluate and improve this program. The evaluation report will not include any specific identifying information about you. There will be no way for WFP or anyone else to find out that you gave this information.

Your participation is completely voluntary. You can choose not to answer any or all of the questions, and you have the freedom to withdraw at any time without consequence. If choose not to be interviewed, it will not involve any loss of benefits or penalty, and your participation does not involve giving up any legal rights.

The interview will take about 60-90 minutes. Participating in this interview will have no impact on you, but this will help us learn about the impact of the project in addressing the needs of beneficiaries. You can ask for clarification at any time during the interview, and you may talk to anyone you feel comfortable talking with about this interview.

There is a small risk that talking about these things could make you uncomfortable, but you don't have to answer any questions you don't want to, and you may choose to end the interview at any time.

Do you agree to participate in this interview? Do you have any questions for me before we begin?

Let's start the discussion by talking about the project that has been implemented in your community. The focus of this discussion is to understand your experiences, observations, and suggestions regarding the activities that were implemented to improve food security and climate resilience.

Introduction

1. How long has your community been part of this program? What are the major environmental and socio-economic problems you face here?
2. What activities have you seen being implemented and who has benefited from these?
3. What has your level of participation been throughout the project?

Climate Resilient Agriculture

1. What changes have you experienced in farming practices, land use, and food security through this program?
 - a. What types of assets have you created with this program? Why were these important?
 - b. How have these assets helped address challenges like floods, hunger, or degraded land?
 - c. Which assets are still working well today, and which are not? Why?
 - d. How do you ensure that these assets remain functional? What support is needed?

- e. Have you seen other communities replicating these practices? What are they doing and why?

Access to Microinsurance

1. How did the insurance program affect how you prepare for farming seasons and/or recover after loss?
 - a. How well do you think you understand what the insurance program is and how it works?
 - b. Have any of you received any payouts? What conditions triggered them and how were they used? Were the payouts to who you think were the right people to get them and for the right amounts?
 - c. What challenges have you faced in using or claiming insurance?
 - d. If you're not part of the insurance component, would you be interested? Why or why not?

Access to Market Opportunities

1. How has your access to markets changed during the project?
 - a. Have you received information on prices, buyers, or market requirements? How do you receive this information?
 - b. Did you receive support on storage techniques or use of storage facilities, like hermetic bags?
 - c. What challenges limit your ability to grow crops beyond maize or expand into income-generating activities?

Access to Climate Services

1. What kinds of weather or seasonal information did you receive during the project (e.g., rainfall forecasts, farming advice)?
 - a. How did you receive it—radio, SMS, or in meetings?
 - b. Did this information help you decide when or what to plant?
 - c. Who explained it to you?
 - d. Was the information clear and timely?
 - e. Did all groups (women, men, youth, elderly, people with disabilities) have equal access and understanding?

Access to Financial Services

1. Did you or your group receive any support to access savings, loans, or grants? What were the benefits and drawbacks?
2. Were you part of a VSLA, cooperative, or received financial training? How did this help you invest in farming, inputs, or your household?
3. Were there challenges in getting or using this support?
4. Who was included or excluded from financial services? Why?

Social Inclusion / AAP / Gender

1. How inclusive and responsive has the program been to different members of your community?
 - a. Are women and youth actively involved in leadership or decision-making roles within project activities? What helped or made it difficult for people from marginalized groups—such as women, youth, or persons with disabilities—to take on leadership roles or be part of decision-making in project activities?
 - b. Have you or others experienced any safety concerns when participating in project activities or accessing assistance?
 - c. Were there individuals or systems that prevented anyone from receiving assistance? Who and why?
 - d. Are you aware of complaints or feedback mechanisms? Have you used them, and did you receive any response?
 - e. What barriers limit people—especially women or youth—from giving feedback? What would make them feel safer and more heard?
 - f. To what extent did the project include women, youth, and marginalized groups in project planning and implementation?
 - g. To what extent were people with disabilities included in project planning and implementation?

- h. Were there any challenges that made it difficult for some people—such as women, youth, or persons with disabilities—to participate fully in project activities?
- i. What could have been done differently to make participation more inclusive for everyone in your community?

General reflection:

1. What are the most important or lasting changes you've seen in your household or community because of this program?
2. Were there any unintended effects, either positive or negative, for participants or non-participants in your community? Who was affected and how?
3. Are physical and natural assets created under the program still functioning and maintained? Who ensures they are maintained?

Summarize the discussion and follow-up with the questions:

- Of all the things we discussed, what to you is the most important?
- Anything to add? Any questions for us?
- Thank you for your time and active/honest participation.

This concludes our focus group discussion. Thank you very much for participating and sharing your thoughts and opinions with us. If there is anything else that you would like to share that you did not have a chance to share with us today, please feel free to reach out to our partners on the ground with any feedback.

FGD: Climate Capacity Score, Community-level participants

1	Thematic area	1.1	Does the community have access to climate/weather information useful for livelihood decision making?	YES (1)	NO (0)	
Access to climate/weather information		1.1.1		<i>Does your community have access to climate information?</i>		
2.1.2		<i>Does your community receive climate information at the right time to take adequate decisions?</i>				
2.1.3		<i>The climate information received is well formulated and enables the community to understand how climate will affect people or livelihoods?</i>				
Score calculator						
<p>Score 0: The community have no access to climate information</p> <p>Score 1: The community have access to climate information, but it is not timely and does not create awareness on how climate hazards could impact their livelihoods</p> <p>Score 2: The community have access to climate information in a timely manner but does not well understand how climate hazards could impact their livelihoods</p> <p>Score 2: The community have access to climate information and understand how climate hazards could impact their livelihoods, but the information is not received in a timely manner</p> <p>Score 3: The community have access to climate information in a timely manner and understand well how climate hazards could impact their livelihoods</p>						

2	Thematic area	2.1	Does your community use climate resilient practices to protect livelihoods from climatic hazards?	YES (1)	NO (0)	NA*	
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Climate resilient practices	2.2.1	<i>Do farmers in your community apply permanent soil organic cover to reduce erosion and evapotranspiration (mulching, cover crops...)?</i>	
2.2.2		<i>Do farmers in your community apply crop diversification and rotation (use of drought resistant crops, associations of at least three crops...)?</i>	
2.2.3		<i>Do farmers in your community apply soil fertility conservation and improvement techniques (composting)?</i>	
2.2.4		<i>Do herders in your community use timely destocking prior to the dry season to reduce animal losses?</i>	
2.2.5		<i>Do herders apply methods to improve pastures (pasture seedlings, contour ridges...)?</i>	
2.2.6		<i>Do herders apply methods to improve animal water availability (water ponds, water budgeting...)?</i>	
Score calculator			
Score 0: No resilient practices used by the community Score 1: Few resilient practices used by the community Score 2: Some resilient practices used by the community Score 3: The community uses a wide range of resilient practices			
*Not applicable if livelihood not relevant			

3	Thematic area	3.1	Does your community own assets that protect most households and their production capacity from climate shocks?	YES (1)	NO (0)	NA*	
	Assets to protect the community against climate related shocks	3.3.1	<i>Does your community have assets that protect households, belongings and production from drought by improving soil water retention (terraces, zai-pits, bunds and ridges...)?</i>				
		3.3.2	<i>Does your community have assets that protect households, belongings and production from floods (diversion canals, dams...)?</i>				
		3.3.3	<i>Does your community have assets that protect households, belongings and production from erosion/landslides (windbreaks, reforestation, agro-forestry, cover crops...)?</i>				
Score calculator							
Score 0: No assets oriented to protect the community from climatic hazards exist Score 1: The community assets provide low protection from climatic hazards affecting it Score 2: The community assets provide protection from most of the climatic hazards affecting it Score 3: The community assets provide protection from the climatic hazards affecting it							
*Not applicable if not affected by type of shock							
4	Thematic area	4.1	Does your community have access to funds to prepare for and/or recover from climatic shocks?	YES (1)	NO (0)		
	Access to financial services (micro insurance)	4.4.1	<i>Has your community had access to weather index insurance over the past three years?</i>				
		4.4.2	<i>Has your community received a payout over the past three years?</i>				
		4.4.3	<i>Was the payout received in a timely manner to address the consequences of the climatic shock?</i>				

4.4.3	<i>Was the pay-out amount received sufficient to recover from the losses incurred?</i>	
Score calculator		
<p>Score 0 – The community has had no access to microinsurance Score 1 – The community has had access to microinsurance but pay outs have not been timely and were not enough to recover from the climatic hazard Score 2 – The community has had access to microinsurance and pay outs have been timely, but pay outs were not enough to recover from the climatic hazard Score 3 - The community has had access to microinsurance and pay outs have been enough to recover from the climatic hazard, but pay outs were not received in a timely manner Score 4- The community has had access to microinsurance, pay outs have been timely and enough to cover from the climatic hazards</p>		

5	Thematic area	5.1	Does your community have access to timely and sufficient assistance in case of shocks?	YES (1)	NO (0)	
	Availability and access contingency funding mechanisms.	5.5.1	<i>Has your community received any kind of assistance from government institutions, UN agencies or NGOs in case of climatic shocks over the past three years?</i>			
5.5.2			<i>Was the assistance received in a timely manner to address the consequences of the shock?</i>			
5.5.3			<i>Was the assistance provided sufficient to recover from the losses occurred?</i>			
Score calculator						
<p>Score 0 – The community has had access to contingency funding mechanisms, but the assistance provided was not timely and was not enough to recover from the climatic hazard Score 1 – The community has had access to contingency funding mechanisms, but the assistance provided was not timely and was not enough to recover from the climatic hazard Score 2 - The community has had access to contingency funding mechanisms and the assistance provided was enough to recover from the climatic hazard, but it was not timely Score 3 - The community has had access to contingency funding mechanisms and the assistance provided was timely and enough to recover from the climatic hazard</p>						

Acronyms and abbreviations

Table 1. List of acronyms.

Abbreviation	Definition
AAP	Accountability to Affected Populations
AF	Adaptation Fund
AF-NPCU	Adaptation Fund National Project Coordination Unit
AYII	Area Yield Index Insurance
CA	Conservation Agriculture
CCA	Climate Change Adaptation
CCS	Climate Capacity Score
CFM	Community Feedback Mechanism or Complaint and Feedback Mechanism
CO	Country Office
CPI	Consumer Price Index
CSA	Climate-smart Agriculture
CSP	Country Strategic Plan
DAES	Department of Agriculture Extension Services
DCCMS	Department Of Climate Change and Meteorological Services
DEQAS	Decentralized Evaluation Quality Assurance System
DEQS	Decentralized Evaluation Quality Support Service
DoDMA	Department of Disaster Management Affairs
DRR	Disaster Risk Reduction
EAD	Environmental Affairs Department
EbAM	Ecosystems-based Adaptation for Resilient Watersheds and Communities in Malawi
EC	Evaluation Committee
EM	Evaluation manager
EQ	Evaluation question s
ER	Evaluation report
ERG	Evaluation Reference Group
ESARETT	WFP Eastern and Southern Africa Regional Technical Evaluation Team
ESP	Environmental and Social Policy
ET	Evaluation team
FAO	Food and Agricultural Organization
FCDO	Foreign, Commonwealth and Development Office
FDiVi	Fostering Digital Villages Through Innovative Advisory and Profitable Market Services
FFA	Food Assistance for Assets Creation
FGD	Focus group discussion
FPC	Finite Population Correction Factor
FSRP	Food Systems Resilience Program
FY	Fiscal Year

Abbreviation	Definition
GDP	Gross domestic product
GEWE	Gender equality and women's empowerment
GHQ	WFP Global Headquarters
GP	Gender Policy
Ha	Hectare
HH	Household
HIV	Human immunodeficiency virus
HHA	Household Approach
HQ	Headquarters
ICA	Integrated Context Analysis
IFAD	International Fund for Agricultural Development
IHS	Integrated Household Survey
IPC	Integrated Food Security Phase Classification
IR	Inception Report
Kg	Kilogram
KII	Key informant interviews
L	Litre
M&E	Monitoring and evaluation
MGDS	Malawi Growth and Development Strategy
MIE	Multilateral Implementing Agency
MIP	Malawi Implementation Plan
MoA	Ministry of Agriculture
MoAIWD	Ministry of Agriculture, Irrigation and Water Development
MSC	Most Significant Change
MT	Metric tons
MTR	Mid-Term Review
MUST	Malawi University of Science and Technology
MVAC	Malawi Vulnerability Assessment Committee
NAIP	National Agricultural Investment Plan
NAP	National Agriculture Policy
NAPA	National Adaptation Programmes of Action
NCCIP	National Climate Change Investment Plan
NGO	Non-Governmental Organization
NPC	National Project Coordinator
NRS	National Resilience Strategy
OECD	Organisation For Economic Co-Operation and Development
OECD-DAC	Organisation For Economic Co-Operation and Development – Development Assistance Committee
OEV	(WFP) Office of Evaluation
OVC	Orphans and vulnerable children

Abbreviation	Definition
PCA	Principal Component Analysis
PICSA	Participatory Integrated Climate Service for Agriculture
PII	Personally Identifiable Information
PPA	Proportional-to-size
PPGR	(WFP) Climate and Resilience Service
QA	Quality assurance
QCA	Qualitative Comparative Analysis
RBJ	WFP Regional Bureau for Southern Africa (former)
REO	Regional Evaluation Officer
RETT	Regional Evaluation Technical Team
RO	Regional Office
SDG	Sustainable Development Goal
SES	Socio-Economic Status
SO	Strategic Objective
TA	Traditional Authorities
TL	Team leader
ToC	Theory of Change
ToR	Terms of Reference
UNDP	United Nations Development Programme
UNEG	United Nations Evaluation Group
UNSDCF	United Nations Sustainable Development Cooperation Framework
USAID	United States Agency for International Development
USD	United States Dollar
VASP	Virtual Asset Service Providers
VDC	Village Development Committee
VNR	Voluntary National Review
WFP	World Food Programme

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